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2 **Original Article**

3 **Title: Effect of  $\gamma$ -cyclodextrin derivative complexation on the physicochemical**  
4 **properties and antimicrobial activity of hinokitiol**

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6 Rina Suzuki<sup>1</sup> · Yutaka Inoue<sup>1</sup> · Yuina Tsunoda<sup>1</sup> · Isamu Murata<sup>1</sup> · Yasunori Isshiki<sup>2</sup> ·  
7 Seiichi Kondo<sup>2</sup> · Ikuo Kanamoto<sup>1</sup>

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12 ✉ Yutaka Inoue

13 E-mail: yinoue@josai.ac.jp

14 Tel: +81-49-271-7317

15 Fax: +81-49-271-7317

16

17 <sup>1</sup>Laboratory of Drug Safety Management, Faculty of Pharmaceutical Sciences, Josai  
18 University, 1-1 Keyakidai, Sakado-shi, Saitama, 3500295, Japan

19

20 <sup>2</sup>Department of Microbiology, Faculty of Pharmaceutical Sciences, Josai University;  
21 1-1 Keyakidai, Sakado-shi, Saitama, 3500295, Japan

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1 **ABSTRACT**

2 The aim of this study was to evaluate the physicochemical properties of solid  
3 dispersion on mixtures of hinokitiol (HT) and  $\gamma$ -cyclodextrin ( $\gamma$ -CD) and of HT and  
4 (2-hydroxypropyl)- $\gamma$ -cyclodextrin (HP- $\gamma$ -CD). Differential scanning calorimetry  
5 revealed that coground HT/ $\gamma$ -CD at a molar ratio of 1:1 and HT and HP- $\gamma$ -CD at molar  
6 ratios of 1:1 and 1:2 lacked an endothermic peak due to melting of HT crystals. Powder  
7 x-ray diffraction revealed that HT crystal showed a halo pattern respectively, by mixing  
8 and grinding of the CDs and HT. Thus, coground HT/ $\gamma$ -CD and HT/HP- $\gamma$ -CD at a molar  
9 ratio of 1:1 had molecular interaction. Assessment of dissolution revealed that ground  
10 mixtures had improved dissolution of HT compared to HT crystals, ground HT alone,  
11 and physical mixtures containing HT.  $^1\text{H}$ - $^1\text{H}$  NOESY NMR suggested that the  
12 7-membered ring and isopropyl group of HT were located within the cavity of  $\gamma$ -CD and  
13 HP- $\gamma$ -CD. The antimicrobial tests indicated that ground mixtures exhibited a minimum  
14 inhibitory concentration (MIC) of 20  $\mu\text{g/mL}$  against *Bacillus subtilis*, 40  $\mu\text{g/mL}$  against  
15 *Staphylococcus aureus*, and 20  $\mu\text{g/mL}$  against *Escherichia coli*. GMs were found to  
16 have 4 times more antimicrobial activity than HT crystals. Ground mixtures also  
17 exhibited MIC of 160  $\mu\text{g/mL}$  against *Pseudomonas aeruginosa* and they were found to  
18 2 times more antimicrobial activity than HT crystals. Improvement in antimicrobial  
19 activity with the formation of inclusion complexes is presumably due to increase the  
20 solubility of HT as a result of the formation of HT/CD inclusion complexes.

21

22 Keywords: hinokitiol, cyclodextrin, ground mixture, molecular interaction,  
23 antimicrobial activity

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## 1 **Introduction**

2 Hinokitiol (HT) is a crystalline substance with a 7-membered ring structure that is  
3 extracted from the essential oil of certain trees. Since HT is a tropolone derivative, it has  
4 similar anti-bacterial action [1], antifungal action [2, 3], anti-inflammatory action [4],  
5 antioxidant activity [5], melanin inhibition [6] and anti-tumor action [7]. HT has  
6 exceptional biochemical and pharmacological activity. These properties have led to the  
7 use of HT in oral care products in the pharmaceutical field [8, 9]. HT is naturally  
8 occurring and it is known to exhibit exceptional cytotoxic and antimicrobial activity  
9 against oral pathogens and oral squamous epithelial cancer cell lines, so it can be used  
10 safely [10]. Medical use of HT is anticipated to increase in the future. HT is also used as  
11 a preservative in food since it inhibits the enzymatic browning of foods [11]. HT acts by  
12 degrading certain proteins, scavenging free radicals, and chelating metals, so it has been  
13 approved as a food additive and preservative. HT has been used in various fields as  
14 mentioned earlier, and its further use is anticipated in the future. However, HT has poor  
15 solubility since it comes from essential oil. HT is also unstable to light, potentially  
16 resulting in photolysis, polymerization, and condensation and therefore limiting its  
17 effective use. Improved stability to heat, solubility in water, and stability to light are  
18 needed to capitalize on the properties of HT to develop various products.

19 Cyclodextrin (CD) is, based on the number of glucopyranose units it contains,  
20 classified into  $\alpha$ -,  $\beta$ -, and  $\gamma$ -CD. CD is widely used as a host molecule because of the  
21 inclusion complexes formed by each CD. The area near the inlet of the CD cavity is  
22 hydrophilic while the inside is hydrophobic. CD is known, as a result of hydrophobic  
23 interaction in an aqueous solution, to accept various hydrophobic guest molecules into  
24 its cavity to form inclusion complexes [12]. The physical and chemical properties of the  
25 guest molecule are affected by inclusion, i.e. its solubility is improved [13], its stability

1 is improved [14], its antibacterial activity is improved [15], its bioavailability is better  
2 controlled [16, 17] and its taste is improved [18]. CDs have been utilized in various  
3 fields, including pharmaceuticals and foods. As an example,  $\alpha$ -lipoic acid is a coenzyme  
4 involved in energy production that is present in mitochondria. However,  $\alpha$ -lipoic acid is  
5 sensitive to physical changes, light, and heat. The inclusion complex was prepared using  
6  $\alpha$ -lipoic acid and  $\alpha$ -CD,  $\beta$ -CD and  $\gamma$ -CD inclusion style different results in type of CD  
7 is obtained. When  $\alpha$ -lipoic acid forms inclusion complexes with  $\gamma$ -CD, though, it is  
8 reported to have improved stability against heat, light, and pH changes [19]. Since the  
9 type of guest molecule is determined by it having a size and shape that fits in the CD  
10 cavity, CDs allow the stereoselective formation of inclusion complexes. Therefore, the  
11 form of inclusion is known to differ depending on the type of CD, even when using the  
12 same guest molecule [20]. When candesartan cilexetil is used as a guest molecule,  
13 complex formation is affected by steric hindrance since the size of the CD cavity  
14 depends on the type of CD [21].

15 Antimicrobial agents must be effective in small amounts, long-lasting, safe, and easy  
16 to handle. Therefore, natural antimicrobial agents reduce the impact on the environment  
17 and on the human body. This study coground HT to allow its use as a natural  
18 antimicrobial component to form HT/CD inclusion complexes in order to evaluate the  
19 physicochemical properties of HT and study its dissolution. Furthermore, this study  
20 examined the effect that inclusion complex formation had on the antimicrobial activity  
21 of HT.

22

## 23 **Materials and methods**

### 24 **Materials**

25 HT was a bulk powder manufactured by Wako Pure Chemical Industries Co. Ltd.

1  $\gamma$ -CD was donated by Cyclo Chem Co. Ltd (Tokyo, Japan).  $\gamma$ -CD was used after  
2 storage at 40°C, 82% RH for 7 days. (2-hydroxypropyl)- $\gamma$ -cyclodextrin (HP- $\gamma$ -CD) was  
3 a bulk powder manufactured by Sigma-ALDRICH Co. Ltd. (Tokyo, Japan). HP- $\gamma$ -CD  
4 was used 0.6 substitutions. All other chemicals and solvents were of analytical grade.

5

#### 6 Preparation of physical mixtures and ground mixtures

7 Physical mixtures (PMs) were prepared by mixing  $\gamma$ -CD or HP- $\gamma$ -CD with HT at  
8 different molar ratios (HT: $\gamma$ -CD=2:1, 1:1, and 1:2) for 1 minute using a vortex mixer.

9 Ground mixtures (GMs) were prepared by grinding PMs (1.0 g) for 60 min using a  
10 vibrating rod mill (TI-500ET, CMT Co.) with an aluminum pan.

11

#### 12 Physicochemical characterization

##### 13 Differential scanning calorimetry (DSC)

14 Thermal behavior of samples was recorded using a differential scanning calorimeter  
15 (Thermo plus Evo, Rigaku). All samples were weighed (2 mg) and heated at a  
16 scanning rate of 5.0°C/min with a nitrogen flow rate of 60 mL/min between 30 and  
17 100°C. Aluminum pans and lids were used for all samples.

18

##### 19 Powder x-ray diffraction

20 Powder x-ray diffraction (PXRD) was performed with a powder x-ray  
21 diffractometer (MiniFlex II, Rigaku) using Cu  $K\alpha_1$  radiation, a voltage of 30 KV, and a  
22 current of 15 mA. Sample powders were placed in glass sample holders. Samples  
23 were scanned from 3° to 35° (2 $\theta$ ) at a rate 4°/min.

24

##### 25 Fourier transform infrared spectroscopy

1 Fourier transform infrared (FT-IR) absorption spectra of samples were recorded  
2 with a spectrometer (FT/IR-410, JASCO) using the potassium bromide (KBr) disk  
3 method. Scanning was performed 16 times over a range of 650-4000  $\text{cm}^{-1}$  with a  
4 resolution of 4  $\text{cm}^{-1}$ . Tablets were prepared by adding KBr to the sample (ratio of  
5 sample:KBr of 1:10 by weight) and manually compressing the mixture.

6  
7 Nuclear magnetic resonance (NMR) measurement using  $^1\text{H}$ - $^1\text{H}$  nuclear Overhauser  
8 effect difference spectroscopy (NOESY)

9 Nuclear magnetic resonance (NMR) spectroscopy was performed using a Varian  
10 NMR System 700 MHz spectrometer (manufactured by Agilent Technologies, Inc.).  
11 Spectroscopy conditions were a solvent system ( $\text{D}_2\text{O}:\text{H}_2\text{O}=9:1$ ), a resonant frequency  
12 of 699.6 MHz, a pulse width of  $90^\circ$ , 256 increments, a scan time of 0.500 s, a relaxation  
13 delay of 1.5 s, and a temperature of  $25^\circ\text{C}$ .

14  
15 Dissolution study

16 Dissolution testing of samples was performed using a dissolution apparatus  
17 (NTR-593, Toyama Sangyo) at  $37\pm 0.5^\circ\text{C}$  with 900 mL of distilled water that was stirred  
18 at 50 rpm using the paddle method. The samples were weighed accurately to be  
19 equivalent to 50 mg of HT. Ten mL of the dissolved sample was collected at 0, 5, 10, 15,  
20 30, and 60 min through 0.45- $\mu\text{m}$  membrane filters. An equal volume of fresh dissolution  
21 medium maintained at the same temperature was added after removing the sample to  
22 keep the volume of dissolution medium constant. Five mL of filtered sample was  
23 diluted to 50 mL with distilled water:methanol (2:8). The concentration of HT in  
24 samples of the diluted solutions was analyzed using UV spectroscopy at 240 nm.

25

## 1 Antimicrobial study

2 The minimum inhibitory concentration (MIC) of HT was measured using agar  
3 dilution procedure. This procedure essentially followed the M7-A7 standard of the  
4 Clinical and Laboratory Standards Institute (CLSI) [22]. Test bacteria used were two  
5 strains of Gram-positive bacteria (*Bacillus subtilis* NBRC3134 and *Staphylococcus*  
6 *aureus* JCM2413) and two strains of Gram-negative bacteria (*Escherichia coli*  
7 JCM5491 and *Pseudomonas aeruginosa* JCM6119). Mueller-Hinton II agar (MHA,  
8 Becton, Dickinson and Company, NJ) was used as a test medium. HT was added to have  
9 an concentration of 320 µg/mL in MHA. The mixture was stirred, then sequentially  
10 diluted with MHA to make a serial two-fold dilution. The test plates were prepared and  
11 an inoculum (5-µL) of the bacterium adjusted to  $2.0 \times 10^6$  CFU/mL, was spotted onto a  
12 test plate. After test plates were incubated for 24 hours at 37 °C, the growth of each  
13 strain was observed to determine the MIC [23].

14

## 15 **Results and Discussion**

### 16 DSC

17 Changes in thermal behavior are reported to appear as a result of the formation of  
18 inclusion complexes [24]. Thus, DSC was performed to examine the thermal behavior  
19 of GMs (Fig. 2, 3). HT crystals and ground HT alone were found to produce an  
20 endothermic peak at around 53°C due to the melting point of HT (Fig. 2a, b). An  
21 HT/γ-CD PM (HT:γ-CD=1:1), an HT/HP-γ-CD PM (HT:HP-γ-CD=1:1), an HT/γ-CD  
22 GM (ground for 60 min, HT:γ-CD=2:1), and an HT/HP-γ-CD GM (ground for 60 min,  
23 HT:HP-γ-CD=2:1) all produced an endothermic peak at around 53°C due to the melting  
24 of HT crystals (Fig. 2e, f. Fig.3e, f). However, HT/γ-CD GMs (ground for 60 min,  
25 HT:γ-CD=1:1 and 1:2) and HT/HP-γ-CD GMs (ground for 60 min, HT:HP-γ-CD=1:1

1 and 1:2) did not produce an endothermic peak due to the melting of HT crystals (Fig. 2g,  
2 h. Fig.3g, h).

3 The aforementioned changes in thermal behavior presumably indicate molecular  
4 interaction in HT/ $\gamma$ -CD, HT/HP- $\gamma$ -CD, and the GMs. In addition, cogrinding  
5 presumably resulted in molecular interaction of HT and  $\gamma$ -CD at a molar ratio of 1:1.

6

### 7 PXRD

8 PXRD was performed to examine the crystalline state of HT/ $\gamma$ -CD, HT/HP- $\gamma$ -CD,  
9 and coground mixtures of the two. HT crystals and ground HT alone produced a peak  
10 characteristic of HT at  $2\theta=10.3^\circ$  and  $23.7^\circ$  (Fig. 4a, b). An HT/ $\gamma$ -CD PM  
11 (HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD PM (HT:HP- $\gamma$ -CD=1:1) produced a diffraction  
12 peak due to HT crystals at  $2\theta=10.1^\circ$  and  $23.6^\circ$  and a diffraction peak due to  $\gamma$ -CD at  
13  $2\theta=12.2^\circ$  and  $16.2^\circ$  (Fig. 4g, h). In contrast, an HT/ $\gamma$ -CD GM (ground for 60 min,  
14 HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD GM (ground for 60 min, HT:HP- $\gamma$ -CD=1:1) did not  
15 produce a diffraction peak due to HT crystals and or a diffraction peak due to  $\gamma$ -CD or  
16 HP- $\gamma$ -CD, but they did produce a halo pattern (Fig. 4i, j). Amorphous state, has been  
17 reported that there is a possibility of some interaction is occurring [25].

18

### 19 FT-IR analysis

20 PXRD and DSC presumably indicated molecular interaction of HT and  $\gamma$ -CD or HT  
21 and HP- $\gamma$ -CD. Thus, FT-IR spectroscopy was performed to examine molecules in a  
22 solid state (Fig. 5). FT-IR spectroscopy revealed that HT crystals produced peaks  
23 presumably due to the carbonyl group (producing a peak at around  $1608\text{ cm}^{-1}$ ) and the  
24 hydroxyl group (producing a peak at around  $3200\text{ cm}^{-1}$ ) within the HT molecule (Fig.  
25 5a). An HT/ $\gamma$ -CD PM (HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD PM (HT:HP- $\gamma$ -CD=1:1)



1 both produced absorption peaks due to the carbonyl group and hydroxyl group within  
2 the HT molecule as were similarly noted with HT crystals (Fig. 5c, e). However, an  
3 HT/ $\gamma$ -CD GM (ground for 60 min, HT: $\gamma$ -CD=1:1) caused a lower absorption peak (at  
4 around 1608  $\text{cm}^{-1}$ ) due to the carbonyl group of HT. An HT/ $\gamma$ -CD GM (ground for 60  
5 min, HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD GM (ground for 60 min, HT:HP- $\gamma$ -CD=1:1)  
6 caused the peak due to the hydroxyl group (at around 3200 $\text{cm}^{-1}$ ) to disappear or they  
7 caused a higher absorption peak (Fig. 5d, f). CD is known to take up a water molecule  
8 when it does not include a guest molecule, and it replaces the water molecule with the  
9 guest molecule as the latter approaches [26]. This probably results in a stable energy  
10 state. A peak due to the water of crystallization present in the 8-membered ring of  $\gamma$ -CD  
11 was noted at around 1653  $\text{cm}^{-1}$ . This peak disappeared with an HT/ $\gamma$ -CD GM (ground  
12 for 60 min, HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD GM (ground for 60 min,  
13 HT:HP- $\gamma$ -CD=1:1). Thus, dehydration of the water in CD crystals [27] presumably  
14 produced hydrophobic molecular interaction.

15

## 16 Dissolution study

17 Assessment of the physical properties of compounds in a solid state suggested that  
18 HT/ $\gamma$ -CD and HT/HP- $\gamma$ -CD had molecular interaction as a result of the cogrinding of  
19 HT and CD. A dissolution test was performed with HT crystals, HT ground for 60 min,  
20 an HT/ $\gamma$ -CD PM (HT: $\gamma$ -CD=1:1), an HT/HP- $\gamma$ -CD PM (HT:HP- $\gamma$ -CD=1:1), an  
21 HT/ $\gamma$ -CD GM (ground for 60 min, HT: $\gamma$ -CD=1:1), and an HT/HP- $\gamma$ -CD GM (ground for  
22 60 min, HT:HP- $\gamma$ -CD=1:1) to determine the solubility of HT as a result of molecular  
23 interaction. Results indicated that HT was present as crystals in the HT-HP- $\gamma$ -CD PM  
24 (HT:HP- $\gamma$ -CD=1:1) and in the HT/ $\gamma$ -CD PM (HT: $\gamma$ -CD=1:1), resulting in limited  
25 contact and slow dissolution. In contrast, an HT/HP- $\gamma$ -CD GM (ground for 60 min,

1 HT:HP- $\gamma$ -CD=1:1) had a dissolution rate of around 90% and an HT/ $\gamma$ -CD GM (ground  
2 for 60 min, HT: $\gamma$ -CD=1:1) had a dissolution rate of around 80% at 5 min. In the initial  
3 stages, HT was almost completely dissolved. In the initial stages, HT crystals dissolve  
4 little, and a dissolution rate of around 30% was noted at 60 min. This indicates that an  
5 HT/ $\gamma$ -CD GM (ground for 60 min, HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD GM (ground for  
6 60 min, HT:HP- $\gamma$ -CD=1:1) had improved dissolution of HT compared to HT crystals  
7 (Fig. 6).

8 In GMs, the formation of inclusion complexes is affected by HT and CD in a solid  
9 state. GMs presumably had improved dissolution compared to HT crystals and PMs.

10

#### 11 $^1\text{H}$ - $^1\text{H}$ NOESY NMR

12 Results of a dissolution test revealed that GMs had improved dissolution compared to  
13 HT crystals alone. Molecular interaction between HT and  $\gamma$ -CD or between HT and  
14 HP- $\gamma$ -CD in solution may affect solubility. Thus,  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectroscopy  
15 was performed to assess the state of molecules in an aqueous solution.

16 An HT/ $\gamma$ -CD GM (ground for 60 min, HT: $\gamma$ -CD=1:1) produced cross-peaks between  
17 the peaks at H-A, B, C, and D due to the 7-membered ring of HT and the peaks at H-3  
18 and H-5 due to  $\gamma$ -CD, and an HT/ $\gamma$ -CD GM (ground for 60 min, HT: $\gamma$ -CD=1:1) also  
19 produced cross-peaks between the peak at H-F due to the isopropyl group of HT and the  
20 peaks at H-3 and H-5 due to  $\gamma$ -CD (Fig. 7a, b). Similarly, an HT/HP- $\gamma$ -CD GM (ground  
21 for 60 min, HT:HP- $\gamma$ -CD=1:1) produced cross-peaks between the peaks at H-A, B, C,  
22 and D due to the 7-membered ring structure of HT and the peaks at H-3, 5 and 6 due to  
23  $\gamma$ -CD. An HT-HP- $\gamma$ -CD GM (ground for 60 min, HT:HP- $\gamma$ -CD=1:1) also produced  
24 cross-peaks between the peak at H-F due to the isopropyl group of HT and the peak at  
25 H-3 due to HP- $\gamma$ -CD (Fig. 8c, d).

1        These findings suggest that HT in a GM of HT/ $\gamma$ -CD enters the  $\gamma$ -CD cavity and that  
2 the 7-membered ring of HT is located near the wider edge of  $\gamma$ -CD. Protons of the  
3 isopropyl group of HT enter the CD cavity and are located near the narrower edge of  
4 CD. In a HT/HP- $\gamma$ -CD GM, the 7-membered ring of HT enters the CD cavity and is  
5 located near the narrower edge of  $\gamma$ -CD. Protons of the isopropyl group of HT enter the  
6 CD cavity and are located near the wider edge of CD. In HT/ $\gamma$ -CD inclusion complexes,  
7 the isopropyl group of HT enters the CD cavity from its wider edge and the  
8 7-membered ring of HT is located at the narrowed edge. In HT/HP- $\gamma$ -CD inclusion  
9 complexes, the 7-membered ring of HT enters the CD cavity and the isopropyl group of  
10 HT is located at its wider edge. These findings suggest that HT/ $\gamma$ -CD and  
11 HT/HP- $\gamma$ -CD have different forms of inclusion.

12

### 13 Antimicrobial study

14        Inclusion complexes were found to have improved dissolution of HT. Thus, an  
15 antimicrobial test was performed with 4 strains of bacteria to determine whether  
16 inclusion complex formation affects the antimicrobial activity of HT. MICs were also  
17 calculated (Table 1).

18        HT crystals exhibited a MIC of 80  $\mu\text{g}/\text{mL}$  against *B. subtilis*, 160  $\mu\text{g}/\text{mL}$  against *S.*  
19 *aureus*, 80  $\mu\text{g}/\text{mL}$  against *E. coli*, and 320  $\mu\text{g}/\text{mL}$  against *P. aeruginosa*. Ground HT  
20 alone exhibited almost the same MIC as HT crystals exhibited. An HT/ $\gamma$ -CD PM  
21 (HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD PM (HT:HP- $\gamma$ -CD=1:1) were not found to have  
22 improved antimicrobial activity compared to HT crystals. However, an HT/ $\gamma$ -CD GM  
23 (ground for 60 min, HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD GM (ground for 60 min,  
24 HT:HP- $\gamma$ -CD=1:1) exhibited a MIC of 20  $\mu\text{g}/\text{mL}$  against *B. subtilis*, 40  $\mu\text{g}/\text{mL}$  against *S.*  
25 *aureus*, and 20  $\mu\text{g}/\text{mL}$  against *E. coli*. These GMs were found to have 4 times more

1 antimicrobial activity than HT crystals. In addition, an HT/ $\gamma$ -CD GM (ground for 60  
2 min, HT: $\gamma$ -CD=1:1) and an HT/HP- $\gamma$ -CD GM (ground for 60 min, HT:HP- $\gamma$ -CD=1:1)  
3 exhibited a MIC of 160  $\mu$ g/mL against *P. aeruginosa*. These GMs were found to have 2  
4 times more antimicrobial activity than HT crystals. The mechanism for the  
5 antimicrobial and microbicidal action of HT is known to be via metabolic inhibition of  
6 the cell membrane in conjunction with reduced permeability of the cell membrane and  
7 suppressed respiration [28]. A study involving the formation of inclusion complexes by  
8 cefdinir, an antibiotic, and HP- $\beta$ -CD, an analog of  $\beta$ -CD, reported that those complexes  
9 improved the antimicrobial activity of cefdinir against 2 species of bacteria, *S. aureus*  
10 and *E. coli* [27]. Formation of inclusion complexes with CD improved the antimicrobial  
11 activity of cefdinir by enhancing its solubility (normally, it is poorly soluble) and  
12 improving its stability. In the current study, the antimicrobial activity of HT improved  
13 with the formation of inclusion complexes. This is presumably evidence of the  
14 improved solubility of HT. A study reported that inclusion of chlorogenic acid in  $\beta$ -CD  
15 improved the stability of chlorogenic acid and helped to improve its antimicrobial  
16 activity without diminishing its antimicrobial activity [29]. Similarly, inclusion of HT  
17 in CD in the current study improved the stability of HT and may have helped to improve  
18 its antimicrobial activity. The stability of HT and inclusion complexes must be assessed  
19 in the future.

20

## 21 **Conclusion**

22 Use of cogrinding was found to produce HT/ $\gamma$ -CD and HT/HP- $\gamma$ -CD inclusion  
23 complexes in a solid state. Assessment of the physical properties of the compounds in a  
24 solid state and in solution revealed that the molar ratios for the inclusion complexes  
25 were HT: $\gamma$ -CD=1:1 and HT:HP- $\gamma$ -CD=1:1.

1 Improved solubility of HT was noted with GMs. The mechanism for this action was  
2 molecular interaction in a solid state and in solution.

3 Moreover, improved solubility of HT resulted in improved antimicrobial activity of  
4 HT for GMs. CD is reported to form inclusion complexes with other drugs, resulting in  
5 improved stability to light [30]. Similarly, HT formed inclusion complexes with CD in  
6 the current study, inhibiting photolysis. If a high level of antimicrobial activity can be  
7 maintained, then HT can be used to develop products such as food additives and  
8 pharmaceuticals. In addition, this approach may allow effective use of HT in humans  
9 and in the environment.

10 In the future, sites of molecular interaction must be elucidated in further detail.  
11 Complexes formed HT by different CDs must also be examined and compared using  
12 various CDs and CD analogs. Moreover, methods of preparation besides cogrinding  
13 must also be studied.

14

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10 **FIGURE LEGEND**

11 Table 1 Antimicrobial activity of hinokitiol, PMs and GMs

12

13 Fig. 1 Chemical Structures of (a) HT and (b)  $\gamma$ -CD and HP- $\gamma$ -CD

14

15 Fig. 2 DSC curves of HT/ $\gamma$ -CD systems

16 (a) HT crystal, (b) HT ground 60min, (c)  $\gamma$ -CD, (d)  $\gamma$ -CD ground 60min, (e)

17 PM(HT: $\gamma$ -CD=1:1) (f) GM60min (HT: $\gamma$ -CD=2:1), (g) GM60min (HT: $\gamma$ -CD=1:1), (h)

18 GM60min (HT: $\gamma$ -CD=1:2)

19

20 Fig. 3 DSC curves of HT/HP- $\gamma$ -CD systems

21 (a) HT crystal, (b) HT ground 60min, (c) HP- $\gamma$ -CD, (d) HP- $\gamma$ -CD ground 60min, (e)

22 PM(HT:HP- $\gamma$ -CD=1:1) (f) GM60min (HT:HP- $\gamma$ -CD=2:1), (g) GM60min

23 (HT:HP- $\gamma$ -CD=1:1), (h) GM60min (HT:HP- $\gamma$ -CD=1:2)

24

25 Fig. 4 PXRD patterns of HT/CDs systems

26 (a) HT crystal, (b) HT ground 60min, (c)  $\gamma$ -CD, (d)  $\gamma$ -CD ground 60min, (e) HP- $\gamma$ -CD,

1 (f) HP- $\gamma$ -CD ground 60min, (g) PM (HT: $\gamma$ -CD=1:1), (h) PM (HT:HP- $\gamma$ -CD=1:1), (i)  
2 GM60min (HT: $\gamma$ -CD=1:1), (j) GM60min (HT:HP- $\gamma$ -CD=1:1)  
3 ▲:HT, ●: $\gamma$ -CD

4  
5 Fig. 5 FT-IR spectra of HT/CDs systems

6 (a) HT crystal, (b)  $\gamma$ -CD, (c) HP- $\gamma$ -CD, (d) PM (HT: $\gamma$ -CD=1:1), (e) PM  
7 (HT:HP- $\gamma$ -CD=1:1), (f) GM 60 min (HT: $\gamma$ -CD=1:1), (g) GM60min (HT:HP- $\gamma$ -CD=1:1)

8  
9 Fig. 6 Dissolution profiles of HT/CDs systems in 900 mL of water ( $37\pm 0.5^\circ\text{C}$ )

10 ◇ :HT crystal, ◆ :HT ground 60min, ○ :PM (HT: $\gamma$ -CD=1:1), □ :PM  
11 (HT:HP- $\gamma$ -CD=1:1), ● :GM60min (HT: $\gamma$ -CD=1:1), ■ :GM60min (HT:HP- $\gamma$ -CD=1:1)

12 Results were expressed as mean  $\pm$  S.D. (n=3)

13  
14 Fig. 7  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectrum of HT/CDs in  $\text{D}_2\text{O}$

15 (a) GM60min (molar ratio of HT: $\gamma$ -CD = 1:1 ) X is 7.0-7.5 and the Y axis is 3.2-4.0,

16 (b) GM60min (molar ratio of HT: $\gamma$ -CD = 1:1 ) X is 0.8-1.5 and the Y axis is 3.2-4.0

17  
18 Fig. 8  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectrum of HT/CDs in  $\text{D}_2\text{O}$

19 (c) GM60min (molar ratio of HT:HP- $\gamma$ -CD = 1:1 ) X is 6.9-7.5 and the Y axis is 3.3-4.0,

20 (d) GM60min (molar ratio of HT:HP- $\gamma$ -CD = 1:1 ) X is 3.3-4.0 and the Y axis is 3.3-4.0

21

22

Table 1 Antimicrobial activity of hinokitiol, PMs and GMs

	MIC ( $\mu\text{g/mL}$ )			
	<i>B.sub</i>	<i>S.a</i>	<i>E.coli</i>	<i>P.aer</i>
$\gamma$ -CD	-	-	-	-
HP- $\gamma$ -CD	-	-	-	-
HT crystal	80	160	80	320
HT ground	40	40	80	320
PM (HT: $\gamma$ -CD=1:1)	40	80	80	320
PM (HT:HP- $\gamma$ -CD=1:1)	40	80	80	320
GM (HT: $\gamma$ -CD=1:1)	20	40	20	160
GM (HT:HP- $\gamma$ -CD=1:1)	20	40	20	160

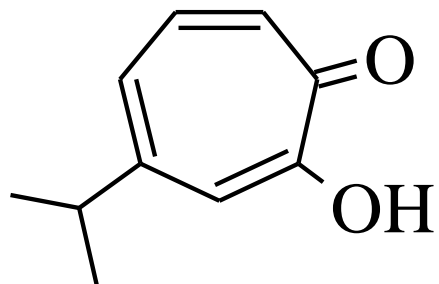
*B. sub* : *Bacillus subtilis*

*S. a* : *Staphylococcus aureus*

*E. coli* : *Escherichia coli*

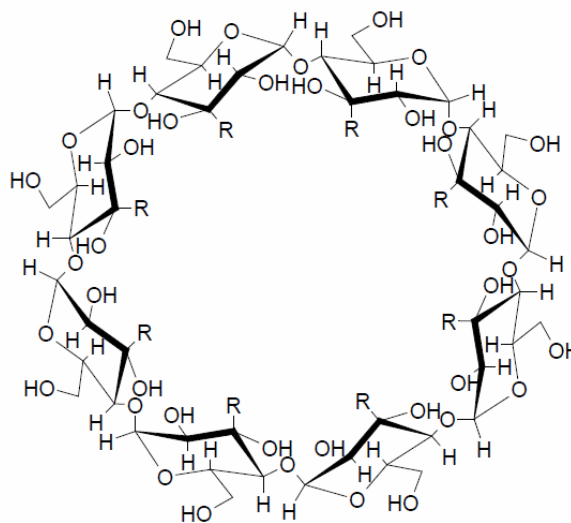
*P. aer* : *Pseudomonas aeruginosa*

(a)



Hinokitiol (HT)  
Mf: C<sub>10</sub>H<sub>12</sub>O<sub>2</sub>  
Mw: 164.2

(b)



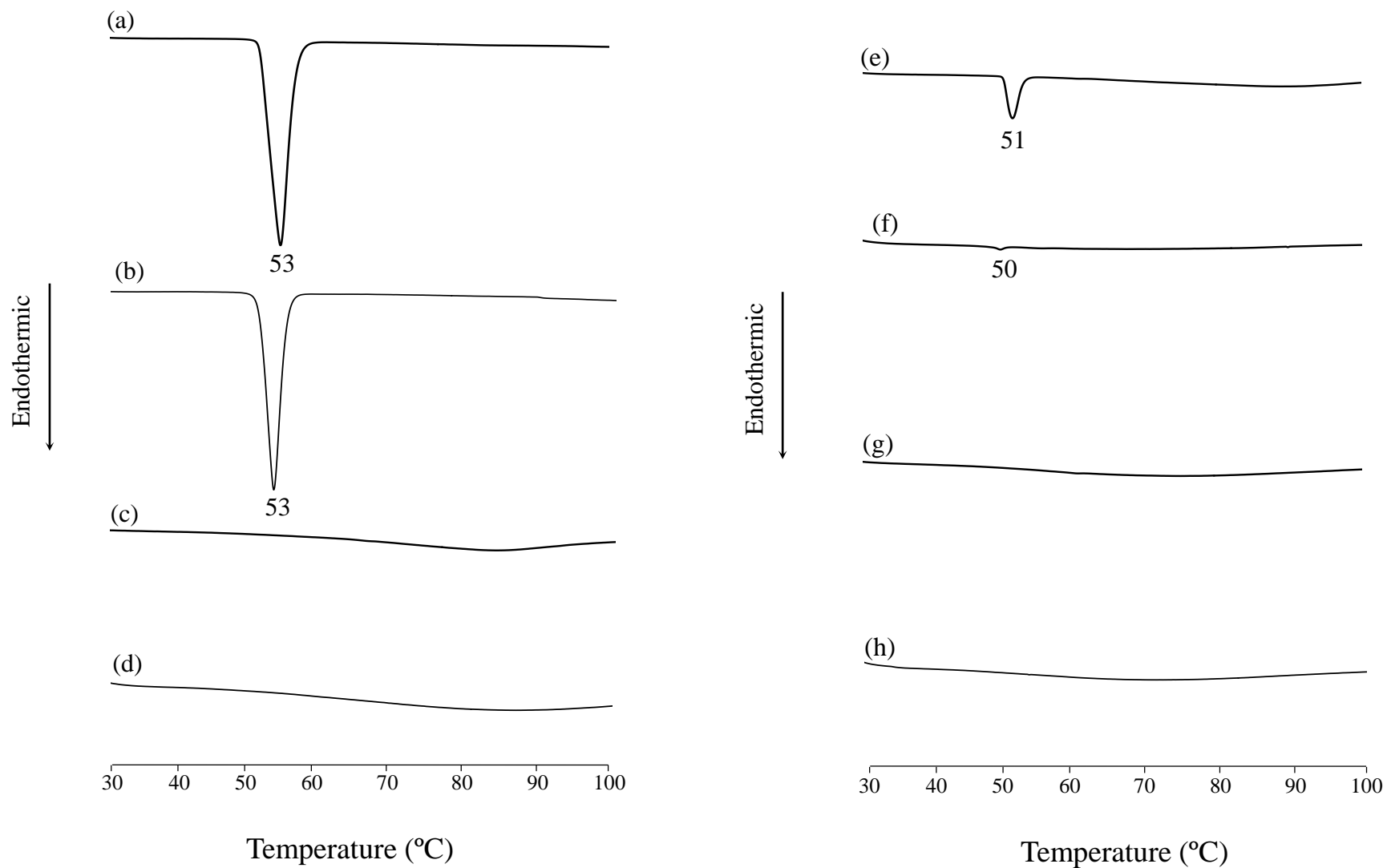
R = H  
γ-Cyclodextrin

R = H or CH<sub>2</sub>CH(OH)CH<sub>3</sub>  
Hydroxypropyl-γ-Cyclodextrin

γ-Cyclodextrin (γ-CD)  
Mf: C<sub>48</sub>H<sub>80</sub>O<sub>40</sub>  
Mw: 1297.1

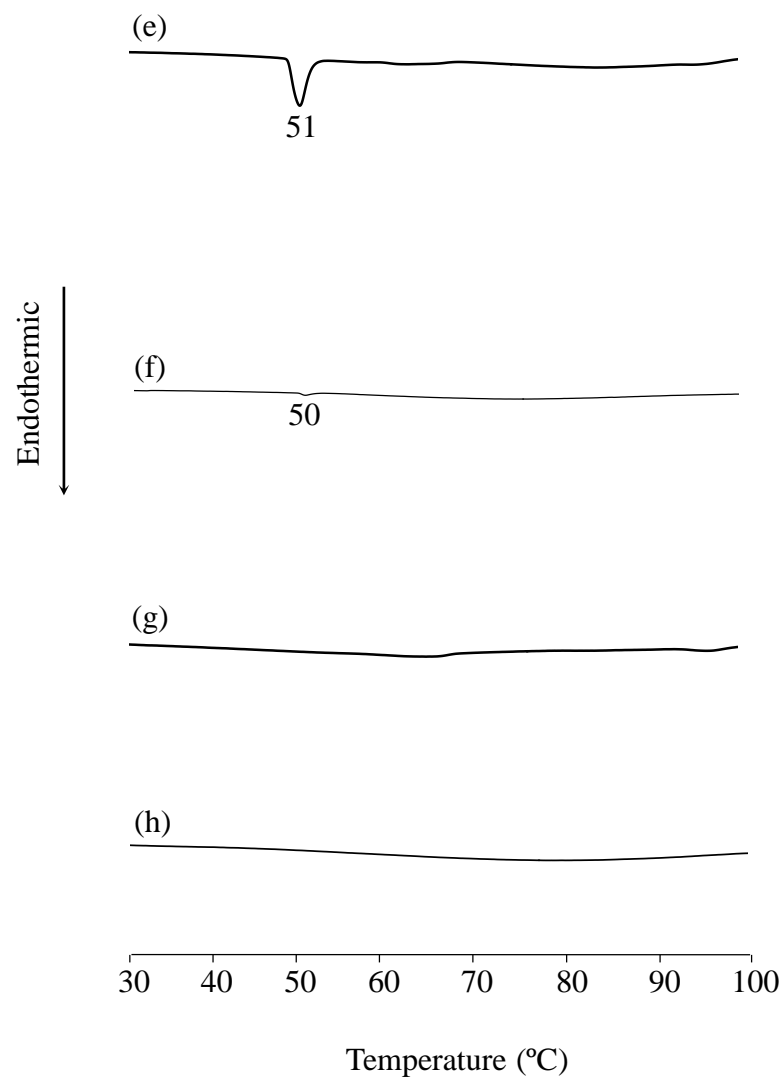
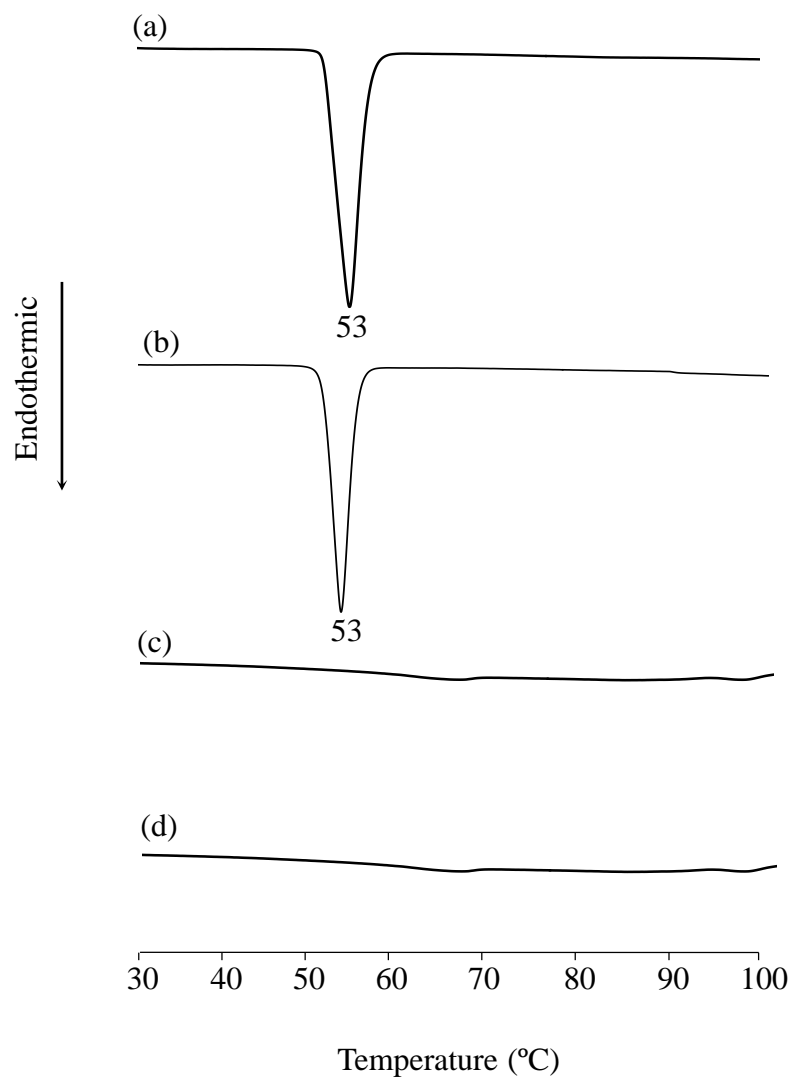
Hydroxypropyl-γ-Cyclodextrin (HP-γ-CD)  
Mw: 1580.0

Fig. 1 Chemical Structures of (a) HT and (b) γ-CD and HP-γ-CD



**Fig. 2 DSC curves of HT/ $\gamma$ -CD systems**

(a) HT crystal, (b) HT ground 60min, (c)  $\gamma$ -CD, (d)  $\gamma$ -CD ground 60min, (e) PM(HT: $\gamma$ -CD=1:1) (f) GM60min (HT: $\gamma$ -CD=2:1), (g) GM60min (HT: $\gamma$ -CD=1:1), (h) GM60min (HT: $\gamma$ -CD=1:2)



**Fig. 3 DSC curves of HT/HP- $\gamma$ -CD systems**

(a) HT crystal, (b) HT ground 60min, (c) HP- $\gamma$ -CD, (d) HP- $\gamma$ -CD ground 60min, (e) PM(HT:HP- $\gamma$ -CD=1:1) (f) GM60min (HT:HP- $\gamma$ -CD=2:1), (g) GM60min (HT:HP- $\gamma$ -CD=1:1), (h) GM60min (HT:HP- $\gamma$ -CD=1:2)

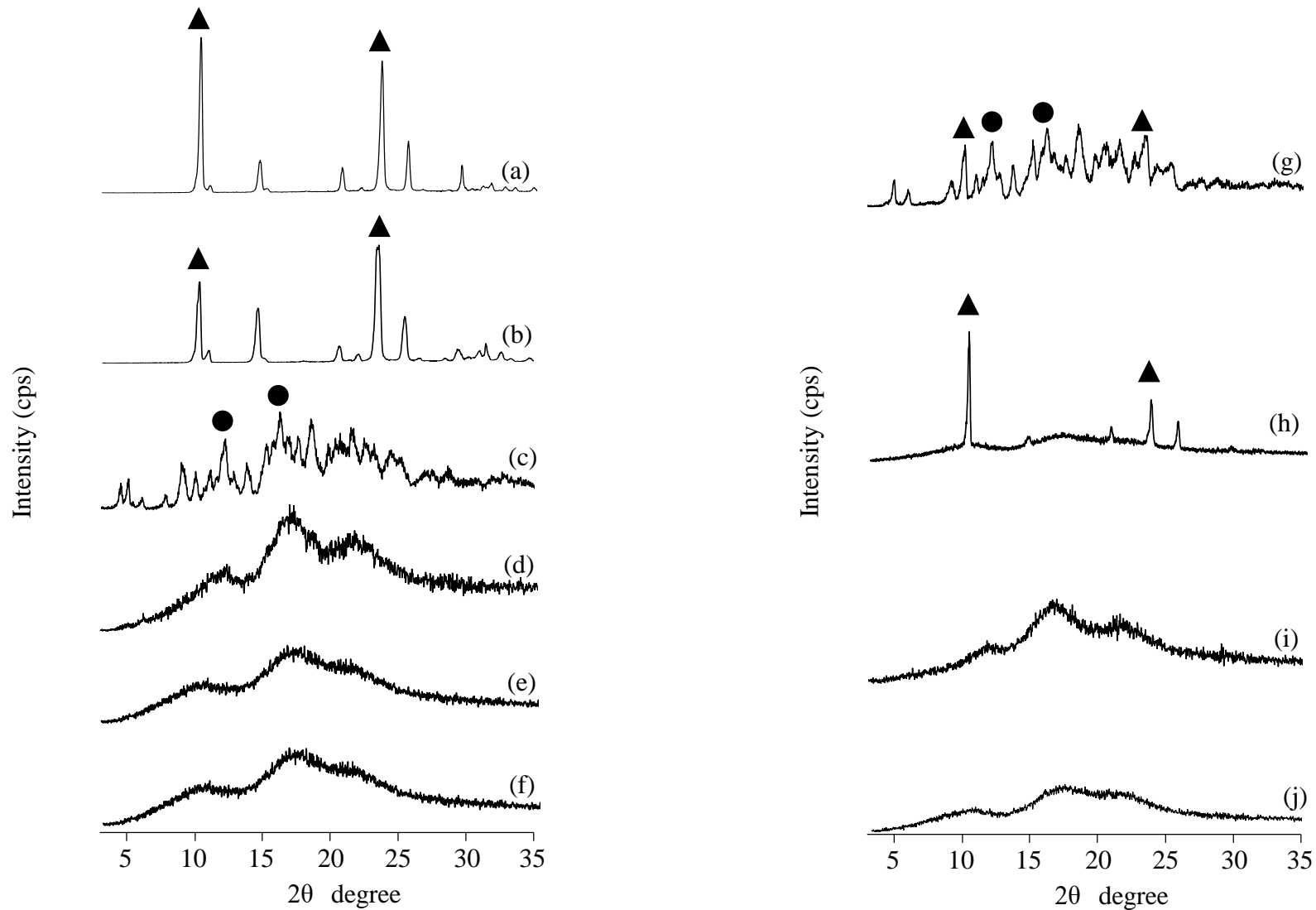


Fig. 4 PXRD patterns of HT/CDs systems

(a) HT crystal, (b) HT ground 60min, (c)  $\gamma$ -CD, (d)  $\gamma$ -CD ground 60min, (e) HP- $\gamma$ -CD, (f) HP- $\gamma$ -CD ground 60min, (g) PM (HT: $\gamma$ -CD=1:1), (h) PM (HT:HP- $\gamma$ -CD=1:1), (i) GM60min (HT: $\gamma$ -CD=1:1), (j) GM60min (HT:HP- $\gamma$ -CD=1:1)

▲:HT, ●: $\gamma$ -CD



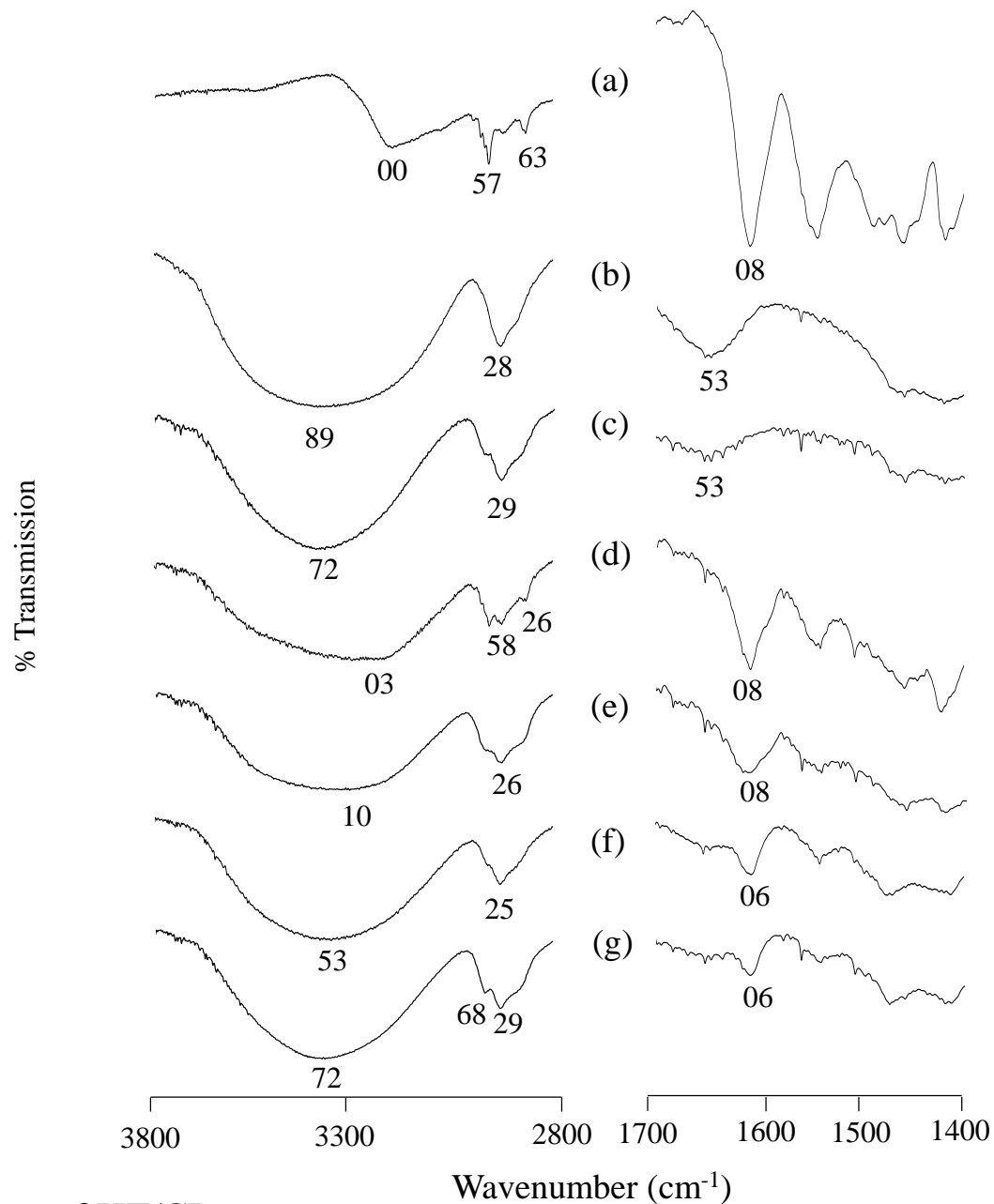


Fig. 5 FT-IR spectra of HT/CDs systems

(a) HT crystal, (b)  $\gamma$ -CD, (c) HP- $\gamma$ -CD, (d) PM (HT: $\gamma$ -CD=1:1), (e) PM (HT:HP- $\gamma$ -CD=1:1), (f) GM 60 min (HT: $\gamma$ -CD=1:1), (g) GM60min (HT:HP- $\gamma$ -CD=1:1)

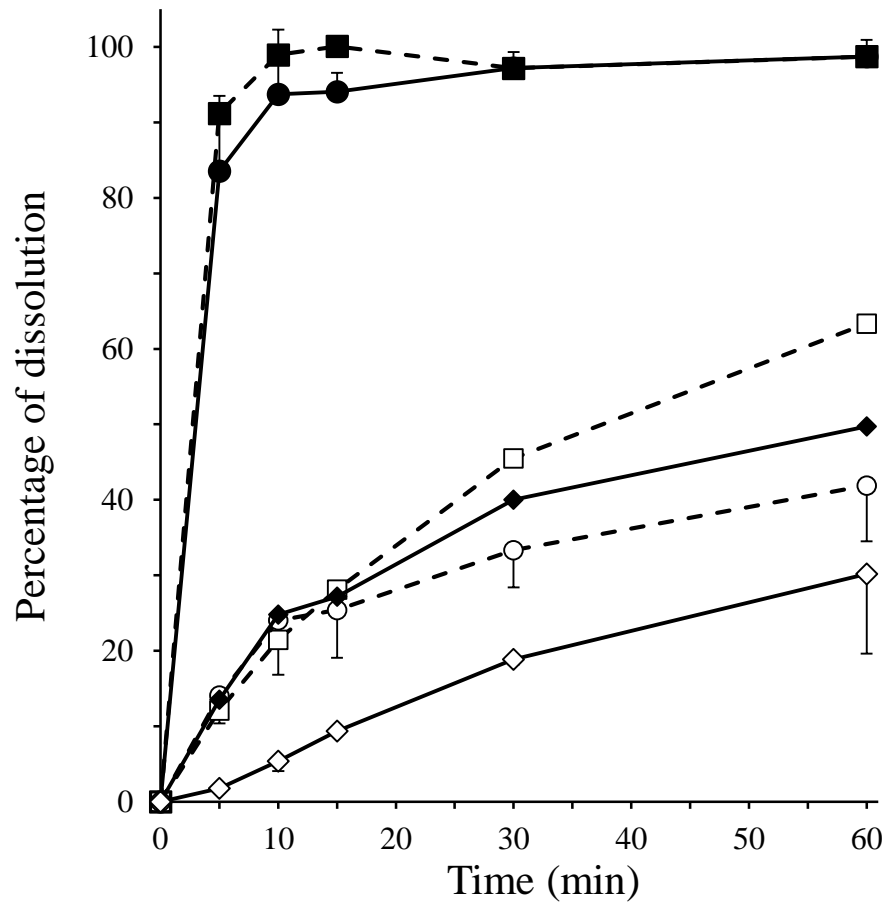


Fig. 6 Dissolution profiles of HT/CDs systems in 900 mL of water ( $37 \pm 0.5^\circ\text{C}$ )

◇:HT crystal, ◆:HT ground 60min, ○:PM (HT:γ-CD=1:1), □:PM (HT:HP-γ-CD=1:1), ●:GM60min (HT:γ-CD=1:1), ■:GM60min (HT:HP-γ-CD=1:1).

Results were expressed as mean  $\pm$  S.D. (n=3)

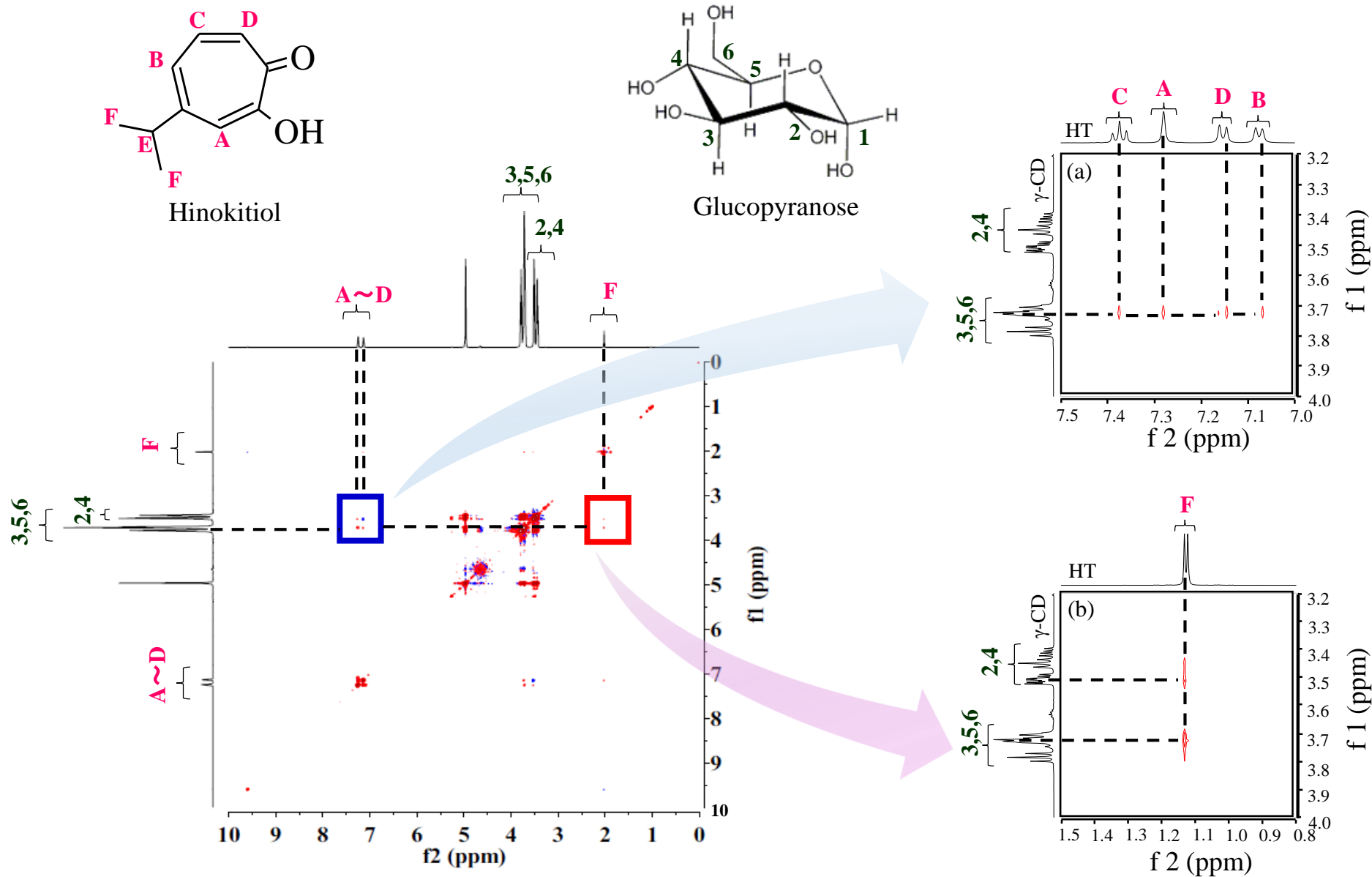


Fig. 7  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectrum of HT/CDs in  $\text{D}_2\text{O}$

(a) GM60min (molar ratio of HT: $\gamma$ -CD = 1:1 ) X is 7.0-7.5 and the Y axis is 3.2-4.0,

(b) GM60min (molar ratio of HT: $\gamma$ -CD = 1:1 ) X is 0.8-1.5 and the Y axis is 3.2-4.0

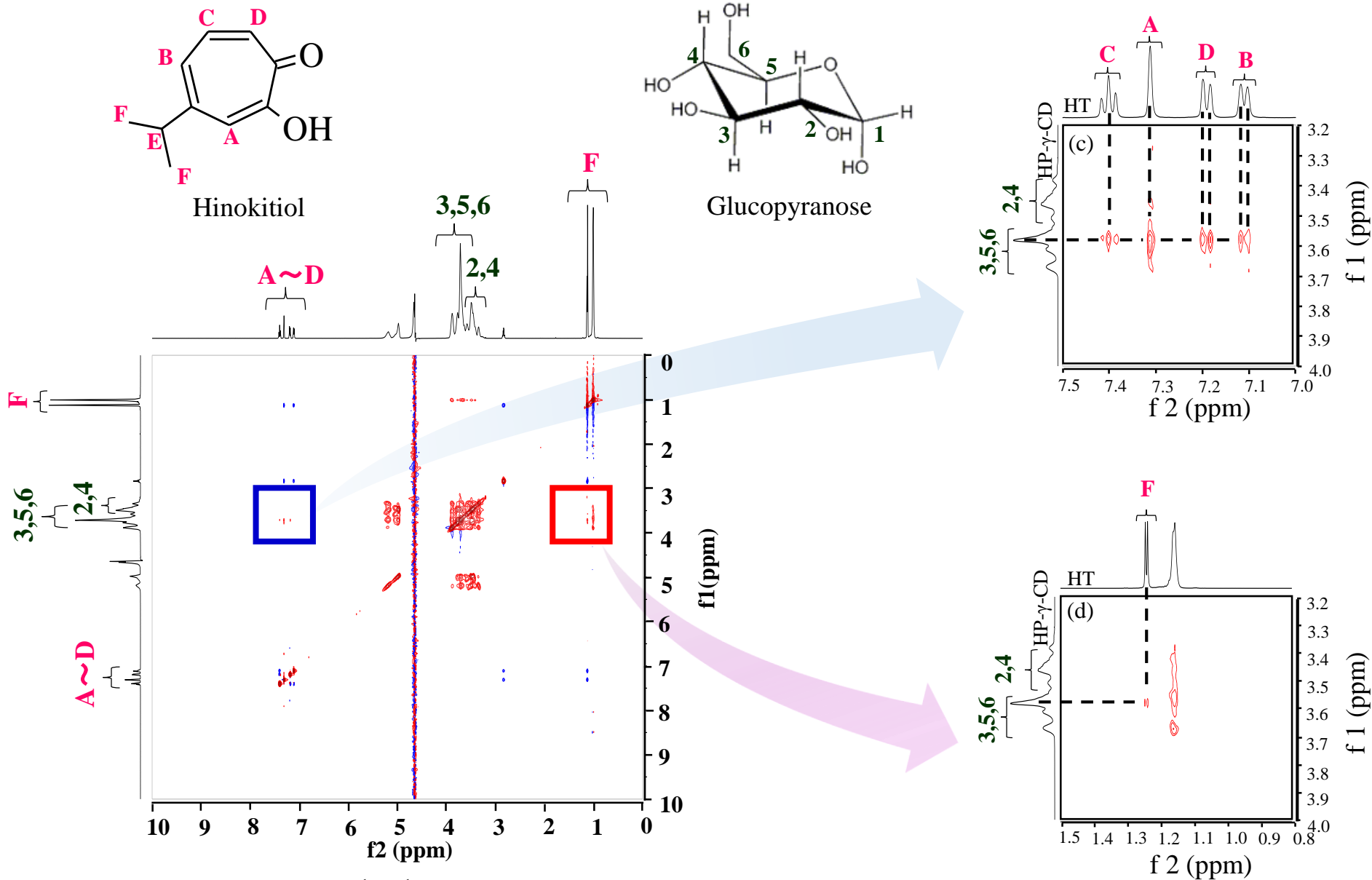


Fig. 8  $^1\text{H}$ - $^1\text{H}$  NOESY NMR spectrum of HT/CDs in  $\text{D}_2\text{O}$

(c) GM60min (molar ratio of HT:HP- $\gamma$ -CD = 1:1 ) X is 6.9-7.5 and the Y axis is 3.3-4.0,

(d) GM60min (molar ratio of HT:HP- $\gamma$ -CD = 1:1 ) X is 3.3-4.0 and the Y axis is 3.3-4.0