

## Enhanced nose-to-brain delivery of tranilast using liquid crystal formulations

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### Highlights

- Intranasal delivery of tranilast was enhanced by MGE and GMO liquid crystals
- Biodistribution of administered LC formulations was visualized by micro-computed tomography tandem *in vivo* imaging systems
- MGE and GMO formed liquid crystal structures with formulation characteristics supporting brain uptake of lipophilic drugs

## ABSTRACT

Intranasal administration is poised as a competent method in delivering drugs to the brain, because the nasal route has a direct link with the central nervous system bypassing the formidable blood-brain barrier. C<sub>17</sub>-monoglycerol ester (MGE) and glyceryl monooleate (GMO) as liquid crystal (LC)-forming lipids possess desirable formulation characteristics as drug carriers for intranasally administered drugs. This study investigated the effect of LC formulations on the pharmacokinetics of tranilast (TL), a lipophilic model drug, and its distribution in the therapeutic target regions of the brain in rats. The anatomical biodistribution of LC formulations was monitored using micro-computed tomography tandem *in vivo* imaging systems. MGE and GMO effectively formed LC with suitable particle size, zeta potential, and viscosity supporting the delivery of TL to the brain. MGE and GMO LC formulations enhanced brain uptake by 10- to 12-fold and 2- to 2.4- fold, respectively, compared with TL solution. The olfactory bulb had the highest TL concentration and fluorescent signals among all the brain regions, indicating a direct nose-to-brain delivery pathway of LC formulations. LC-forming lipids, MGE and GMO, are potential biomaterials in formulations intended for intranasal administration.

**Keywords:** intranasal drug delivery; liquid crystals; micro-computed tomography; *in vivo* imaging; C-17 monoglycerol ester; tranilast

## INTRODUCTION

60 Delivering drugs to the central nervous system (CNS) in the management of acute and chronic neurodegenerative disorders remains an unmet clinical need owing to the blood-brain barrier, wherein numerous tight junctions and efflux transporters inhibit molecules from entering the brain, hence limiting therapies for many CNS diseases [1]. Oral routes of administration, the use of implanted medical devices, and intrathecal and  
65 intracerebroventricular injections have been used as methods to deliver drugs to the brain. Although these methods are used in clinical applications, they are associated with drawbacks such as invasiveness, low central bioavailability, and requiring clinical supervision during administration [2,3].

Nasal drug administration is poised as a competent alternative to the currently available  
70 techniques, because drugs delivered by the intranasal route can be delivered directly to the brain and bypassing the blood-brain barrier. The direct anatomical link between the nasal cavity and the CNS makes it feasible to deliver many drugs via this route. Several studies have established that drugs reach the CNS from the nasal cavity by direct transport along olfactory and trigeminal pathways [4-7]. In addition, the nasal route of administration usually results in  
75 higher bioavailability, thereby requiring lower doses to be administered due to rapid absorption without enzymatic degradation and, in turn, reduced toxicity. The nasal route is noninvasive, can be self-administered, and may be better received by patients resulting in improved compliance [8-10].

Liquid crystal (LC) systems have received significant attention as carriers for the  
80 controlled release of drugs because their unique microstructures have a range of desirable characteristics [11]. LC phases in formulations are thermodynamically stable, biocompatible, and contain extremely large surface areas with the ability to incorporate numerous drugs independently of their solubilities [12,13]. Moreover, LC systems can spontaneously form

either lamellar, cubic, or hexagonal crystalline mesophases by adding biocompatible  
85 amphiphilic lipids, such as glyceryl monooleate (GMO) and C<sub>17</sub> – monoglycerol ester (MGE),  
to an aqueous environment. LC systems formed by GMO and water have been extensively  
investigated about their ability to sustain the release of a wide range of drugs. The use of GMO  
in LC systems has been applied in various administration routes, comprising oral, transdermal,  
and ophthalmic delivery, but there have been no studies with regards to its usefulness for nose-  
90 to-brain delivery [11,14].

Tranilast (TL), a lipophilic molecule, was selected as a model drug for this study  
because its clinical uses have extended beyond bronchial asthma, atopic dermatitis and allergic  
conjunctivitis [15]. Several studies have demonstrated that TL suppresses inducible nitric oxide  
synthase and activity by interferon- $\gamma$ . Hence, the potential for TL in treating various CNS  
95 conditions associated with nitric oxide production including multiple sclerosis, cerebral  
ischemia, and Alzheimer's disease is underway [16,17]. Additionally, the purported  
applications of TL in the treatment of Alzheimer's disease has been appropriately patented [18].  
Therefore, the critical value of enhanced delivery of TL to the brain must be explored.

In this study, we developed LC formulations of TL using a novel LC-forming lipid,  
100 GMO and MGE, intended to be administered intranasally. Previously, we reported that an  
MGE-based LC had an ideal size range and zeta potential suitable as a candidate drug carrier  
for intranasally administered drugs. MGE was selected as an LC-forming lipid for its stability  
and better drug absorption enhancement compared with other LC-forming lipids [19]. Our  
study aimed to establish the effect of LC formulations on the distribution of TL to specific  
105 brain regions after intranasal administration in rats. TL concentration has been quantified in  
therapeutic target regions (i.e., hippocampus, cortex) in the brain. Lastly, the biodistribution of  
LC formulations from the nose to the brain was verified using micro-computed tomography  
( $\mu$ CT) tandem *in vivo* imaging systems.

## MATERIALS AND METHODS

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### Materials and animals

TL was purchased from Tokyo Chemical Industry Co. Ltd. (Tokyo, Japan). Pluronic® F-127 was purchased from Sigma-Aldrich (St. Louis, MO., U.S.A.). C17-monoglycerol ester (MGE) and glyceryl monooleate (GMO) were provided by Farnex Inc. (Yokohama, Japan). A  
115 near-infrared fluorescent cyanine dye, 1,1'-dioctadecyltetramethylindotricarbocyanine iodide (DiR) was purchased from Thermo Fisher Scientific (Waltham, MA., U.S.A.). Ethanol (99.5%) and acetonitrile (99.8%) were purchased from Fujifilm Wako Chemical Corp. (Osaka, Japan).

Male Sprague Dawley rats (7 weeks of age, body weight  $230 \pm 10$  g) were obtained from Sankyo Laboratory Service Corporation, Inc. (Tokyo, Japan). Animals were housed in a  
120 controlled environment (25°C, 12 h light-dark cycle) with free access to food and water. Rats were anesthetized with three types of anesthesia (0.375 mg/kg medetomidine, 2.5 mg/kg butorphanol, and 2 mg/kg midazolam) by intraperitoneal route (*i.p.*) prior to experiments.

The animal experiment protocol was approved by the Animal Care and Use Committee of Josai University with the approval number JU18003.

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### Preparation and characterization of LC formulations

The compositions of LC formulations prepared in this study are presented in Table 1. The selection of components and proportions of the formulations were based on our previous work on MGE LC formulations with slight modification [19]. GMO was melted at 80 °C before  
130 use. The components were combined and the resulting mixture was sonicated using a probe sonicator (VCX-750, Sonics & Materials, Inc., Newton, CT, U.S.A.) at an amplitude of 20% for 5 mins. TL in solution (TL<sub>C</sub>), which served as the control, was prepared by dissolving TL with a sufficient amount of phosphate-buffered saline (PBS) pH 7.4.

### 135 **Measurement of vesicle size, polydispersity index, and zeta potential**

The vesicle size, polydispersity index (PDI), and zeta potential of the prepared LC formulations (TL<sub>M</sub>, TL<sub>ME</sub>, TL<sub>G</sub>, TL<sub>GE</sub>) were determined using a dynamic light scattering Nano-ZS ZEN3600 Zetasizer (Malvern Instruments Ltd., Worcestershire, U.K.). Formulations were diluted with purified water and shaken using a vortex mixer prior to measurement.

140 Measurement of vesicle size, PDI, and zeta potential was done in triplicate at room temperature.

### **Measurement of viscosity**

The viscosity of LC formulations was determined using a viscometer (Toki Sangyo Co., Ltd, Tokyo, Japan) with a sensitivity range of 0.3 – 10,000 mPa·s and with an accuracy of 1% relative error.

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### **Small Angle X-ray scattering (SAXS) analysis**

The prepared LC formulations were subjected to small angle X-ray scattering (SAXS) measurement using a Nano-viewer (Rigaku, Akishima Tokyo, Japan) with a Pilatus 100K/RL 2D detector. The X-ray source was Cu K $\alpha$  radiation with a wavelength of 1.54 Å and operating at 45 kV and 110 mA. The sample-to-detector distance was set at 375 nm. The individual sample was placed in a vacuum – resistant glass capillary cell and exposed at 25°C for 1 h. The SAXS pattern obtained was plotted against the scattering vector length,  $q = (4\pi/\lambda) \sin (\theta/2)$ , where  $\theta$  is the scattering angle. The scattering intensity was normalized using the decayed direct beam intensity.

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### **Entrapment efficiency**

In the measurement of entrapment efficiency, LC formulations were centrifuged at 15,000 rpm for 15 min at 4°C. An aliquot of the resulting supernatant was withdrawn and  
160 diluted with ten-fold acetonitrile and quantified using liquid chromatography–tandem mass spectroscopy (LC-MS/MS). Entrapment efficiency was calculated based on the equation below:

$$\% EE = \frac{TL_{total} - TL_{free}}{TL_{total}} * 100$$

where EE,  $TL_{total}$ ,  $TL_{free}$  are entrapment efficiency, total TL concentration in formulation, and  
165 concentration of TL in the supernatant, respectively.

### ***In vitro* drug release from the LC formulations**

*In vitro* drug release of the formulations was evaluated using a dialysis membrane (MW cut-off; 2,000 – 14,000 Da, Sanko Junyaku Co., Tokyo, Japan) previously hydrated and set on  
170 a side-by-side diffusion cell (effective diffusion area: 0.95 cm<sup>2</sup>). PBS was filled into the receiver chamber. The receiver chamber was thermostatically maintained at 37°C. LC formulations (3.0 mL) were applied to the donor cell to commence the release experiment. Sampling was performed by withdrawal of an aliquot (500 µL) at pre-determined time points. An equal volume of PBS was added to the receiver chamber to keep the volume constant  
175 throughout the experiment.

### **Tissue distribution studies of TL formulations**

Rats were randomly divided into five groups.  $TL_M$ ,  $TL_{ME}$ ,  $TL_G$ ,  $TL_{GE}$ , and  $TL_C$  (0.5 mg/kg) were intranasally administered to the first, second, third, fourth and fifth groups,  
180 respectively. Ten microliters of either LC formulation or  $TL_C$  was administered dropwise using a micropipette (Eppendorf Reference 2®, Eppendorf AG, Hamburg, Germany) inserted (0.5

cm) into each nostril with an interval of 60 s. Rats were kept in supine position throughout the experiment. A separate group of rats was allocated for intravenous administration, the tranilast solution (0.5 mg/kg) was administered through the tail vein.

At predetermined time points (0.17, 0.5, 1, 2, 4, 8 h), approximately 200 µL of blood was withdrawn from the jugular vein and directly transferred into heparinized tubes and immediately centrifuged (15,000 rpm; 10 min; 4°C) to obtain plasma. After this, the same volume of normal saline solution was infused via the tail vein to circumvent alterations in the volume of distribution. After blood collection at 2, 4, and 8 h, rats were deeply anesthetized with three types of anesthesia *i.p.* as abovementioned and transcardially perfused with cold PBS to avoid blood from contaminating the brain. The whole brain was isolated and dissected on ice into specific regions (olfactory bulb, cortex, brainstem, cerebellum, midbrain, hippocampus). The spinal cord was also collected. The collected brain samples were weighed then reduced in size using scissors, and 0.5 mL of acetonitrile was added prior to homogenization at 12,000 rpm and 4°C for 5 min using a homogenizer (Polytron PT 1200 E, Kinematica AG, Littau-Lucerne, Switzerland). The brain homogenates were then centrifuged at 15,000 rpm and 4°C for 5 min. The plasma and brain samples were kept at -30°C until analysis.

The peak plasma concentration ( $C_{max}$ ) was determined directly from plasma or brain concentration-time curves. The area under the plasma or brain concentration-time curve ( $AUC_{0-8}$ ) was calculated using trapezoidal rule [20-22]. Drug targeting efficiency percentage (DTE) and nose-to-brain direct transport percentage (%DTP) were calculated according to previous methods described elsewhere [23,24].

$$\%DTE = \frac{\left(\frac{AUC_{brain}}{AUC_{blood}}\right)_{intranasal}}{\left(\frac{AUC_{brain}}{AUC_{blood}}\right)_{intravenous}} \cdot 100 \quad \text{Eq. 1}$$



$$\%DTP = \frac{B_{in} - B_x}{B_{in}} \cdot 100 \quad \text{Eq. 2}$$

$$B_x = \left( \frac{B_{iv}}{P_{iv}} \right) \cdot P_{in} \quad \text{Eq. 3}$$

where  $B_x$  is the brain AUC fraction contributed by the systemic circulation through the Blood-Brain Barrier following intranasal administration,  $B_{in}$  is the brain AUC over time following intranasal administration,  $P_{iv}$  is the blood AUC over time following intravenous administration, and  $P_{in}$  is the blood AUC over time following intranasal administration [24].

### Sample preparation and quantification of TL using LC-MS/MS

Supernatant (50  $\mu$ L) from plasma or brain homogenates or samples from the *in vitro* release experiment were mixed with an equal amount of acetonitrile and centrifuged at 4°C for 5 min. The resulting supernatant (10  $\mu$ L) was injected into an LC/MS/MS system for the quantification of TL. The LC/MS/MS systems consisted of a system controller (CBM-20A; Shimadzu Corporation, Kyoto, Japan), pump (LC-20AD; Shimadzu), auto-sampler (SIL-20AHT; Shimadzu), column oven (CTO-20A; Shimadzu), detector (4000QTRAP; AB Sciex; Tokyo, Japan), and analysis software (Analyst® version 1.4.2; Shimadzu). The column (Shodex ODP2HPG-2A 2.0 mm x 10 mm, Showa Denko Inc; Tokyo, Japan) was kept at 40°C. The mobile phase used was acetonitrile:0.05% formic acid containing 5 mM ammonium acetate (80:20). The flow rate was maintained at 0.2 mL/min. Mass spectrometric quantification was carried out in the multiple reaction monitoring (MRM) mode, monitoring transition ions of  $m/z$  328.0 to  $m/z$  191.2 with collision energy of 36 eV. Calibration was carried out with six different concentrations within a range of 1–1000 ng/mL with a correlation coefficient of 0.9999.

***In vivo* biodistribution studies of LC formulations using combined *in vivo* imaging system and  $\mu$ CT.**

230 MGE and GMO LC formulations were labeled with 0.2 mM DiR, a highly fluorescent dye when incorporated into lipids. DiR-labeled MGE (DiR<sub>M</sub>, DiR<sub>ME</sub>) and GMO (DiR<sub>G</sub>, DiR<sub>GE</sub>) LC formulations were prepared using the quantities described in Table 1 without TL. DiR solution (DiR<sub>S</sub>) (0.2 mM) was prepared by diluting DiR ethanol solution (10 mM) with a sufficient amount of water.

235 Sprague Dawley rats were anesthetized and divided into five groups; DiR<sub>M</sub>, DiR<sub>ME</sub>, DiR<sub>G</sub>, DiR<sub>GE</sub>, DiR<sub>S</sub>. Before administration, rats were subjected to X-ray  $\mu$ CT imaging using CosmoScan GX II system (Rigaku Corp., Akishima, Tokyo, Japan). Cranial bone images of rats were obtained using the following parameters: 90 kV of X-ray tube voltage, 88  $\mu$ A of X-ray tube current. Then, DiR<sub>M</sub>, DiR<sub>ME</sub>, DiR<sub>G</sub>, DiR<sub>GE</sub>, DiR<sub>S</sub> were administrated intranasally to  
240 the assigned rats identically to the abovementioned procedure in the pharmacokinetics study. After administration, *in vivo* fluorescent images of rats were obtained at 0, 15, 30, 60 and 120 min using the IVIS<sup>®</sup> Spectrum *in vivo* imaging system (PerkinElmer<sup>®</sup> Ltd., Waltham, MA, USA). To visualize the fluorescence signals of formulations in 3D anatomical context, fluorescence signals were co-registered with the anatomical  $\mu$ CT images using Multi-Modality  
245 Module Software (PerkinElmer<sup>®</sup> Ltd., Waltham, MA, USA).

At 120 min post-intranasal administration, rats were sacrificed and the whole brain was carefully excised to avoid contamination. *Ex vivo* fluorescent imaging of the whole brain was conducted with the IVIS<sup>®</sup> Spectrum *in vivo* imaging system. The following conditions were used for image acquisition: 745 nm excitation and 800 nm emission filters, auto exposure time  
250 and F/Stop = 2. The fluorescence images were analyzed by Living Image<sup>®</sup> 4.7.2 software (PerkinElmer<sup>®</sup> Ltd., Waltham, MA, USA). All experiments were conducted in three trials.

### Statistical analysis

Statistical analyses were performed using Student's *t*-test ( $p < 0.05$ ). All data were expressed as the mean with standard error (mean  $\pm$  SE).

## RESULTS

### Characterization of LC formulations

TL<sub>M</sub> and TL<sub>ME</sub> formulations were opaque white dispersion without visible aggregates, whereas TL<sub>G</sub> and TL<sub>GE</sub> formulations were viscous, pale yellow dispersions without visible aggregates, and all formulations were stable throughout the experiment. TL<sub>C</sub> was a clear colorless solution. Table 2 shows the mean vesicle size, PDI, zeta potential, viscosity, and entrapment efficiency of the prepared formulations. PDI values of MGE and GMO-based formulations indicated homogenous particle size distribution. Negative zeta potential is good for fine dispersion of the formulation. Addition of ethanol (TL<sub>M</sub> to TL<sub>ME</sub>, TL<sub>G</sub> to TL<sub>GE</sub>) markedly increased the viscosity of the formulations. Entrapment efficiency of MGE- and GMO-based formulations exhibited good incorporation of TL in the LC structure. The phase structure of TL formulations was evaluated using SAXS and X-ray diffraction profiles showed typical peaks reflection patterns at nearly 1,  $\sqrt{3}$ , and  $\sqrt{4}$  for TL<sub>M</sub> suggesting an inverse hexagonal type of LC (Fig. 1). TL<sub>ME</sub> revealed peaks reflection patterns at  $\sqrt{2}$ ,  $\sqrt{3}$ ,  $\sqrt{4}$ ,  $\sqrt{6}$ ,  $\sqrt{8}$ ,  $\sqrt{9}$  suggesting a bicontinuous cubic (Pn3m) type of LC. TL<sub>G</sub> showed peaks reflection patterns at  $\sqrt{2}$ ,  $\sqrt{4}$ ,  $\sqrt{6}$  indicating a bicontinuous cubic (Im3m) LC, whereas TL<sub>GE</sub> had unverified reflection patterns. DiR-labeled LC formulations had identical peak reflection patterns with their respective TL LC formulations (data not shown). Particle size, PDI, and zeta potential of DiR-labeled LC formulations had indistinguishable variances with their respective TL LC formulations (data not shown).

### Drug release profile of LC formulations

Figure 2 shows the release profile of TL from the LC formulations. The cumulative amount of TL released over 8 h from TL<sub>M</sub>, TL<sub>ME</sub>, TL<sub>G</sub> and TL<sub>GE</sub> were  $389.75 \pm 23.06 \mu\text{g}/\text{cm}^2$ ,  $547.70 \pm 12.33 \mu\text{g}/\text{cm}^2$ ,  $185.24 \pm 9.39 \mu\text{g}/\text{cm}^2$ ,  $268.56 \pm 22.41 \mu\text{g}/\text{cm}^2$ , respectively. This corresponds to a 2-fold higher release rates in MGE formulations when compared with GMO formulations. For both MGE and GMO formulations, addition of ethanol increased the release rate of TL.

### TL distribution in plasma and whole brain

Brain uptake of TL following intranasal administration on Sprague Dawley rats was observed for a duration of 8 h. Figure 3A and B show the TL concentration in plasma and the whole brain, respectively, following intranasal administration. Table 3 presents the pharmacokinetic parameters. TL<sub>M</sub> and TL<sub>ME</sub> formulations exhibited a sustained TL concentration profile in the brain (Fig. 3B). TL<sub>ME</sub> formulations had  $AUC_{(0-8)}$  values of 94.5 and 114 ng·h/mL in the whole brain, which corresponded to 10- to 12-fold higher TL concentration compared with TL<sub>C</sub>. TL<sub>ME</sub> showed the highest  $C_{max}$  in the brain. Mean residence time of TL from LC formulations in the brain ranged from 4 to 6 h corresponding to 2- to 3-fold higher residence time in the brain than in plasma.

### TL distribution in brain regions

Figure 4 shows the TL distribution in different regions of the brain following intranasal administration. All brain regions showed uptake of TL at 2 h, the first collection point. Formulations containing MGE and GMO exhibited increasing TL concentrations in different brain regions over time, but TL<sub>C</sub> showed a decreasing trend. An apparent selective distribution of TL from LC formulations to brain regions was seen from 4 to 8 h. Among the brain regions,

the olfactory bulb showed the highest TL concentration of 76.3 ng/g and 18.2 ng/g at 2 h following the intranasal administration of TL<sub>M</sub> and TL<sub>ME</sub>, respectively. TL<sub>M</sub> showed a decreasing TL concentration in the olfactory bulb over time whereas TL concentrations in the hippocampus increased over time. In addition, higher TL concentrations at 8 h were observed in the hippocampus and spinal cord for MGE LC formulations. Calculated %DTE and %DTP are presented in Table 3.

### ***In vivo* biodistribution studies of LC formulations**

Figure 5 shows *in vivo* fluorescent images of DiR-labeled LC formulations combined with  $\mu$ CT. The combination *in vivo* imaging system and  $\mu$ CT imaging allowed the visualization and monitoring of the distribution of formulations in a 3D anatomical context. A fluorescent dye, DiR, was incorporated to lipid-based LC formulations to understand the disposition of administered formulations from the nasal cavity to the brain. The movement and location of fluorescence signals in DiR<sub>M</sub> and DiR<sub>ME</sub> LC formulations were traced from the administration site to the adjacent regions of the olfactory region, and finally in the olfactory bulb. DiR<sub>G</sub> and DiR<sub>GE</sub> LC formulation had strong fluorescence that remained stationary in the nasal cavity (see Fig. 5B). DiR solution (DiR<sub>S</sub>) exhibited rapid elimination from the nasal cavity and generated low fluorescence signals. Moreover, slice images of rat cranium in the lateral direction (Fig. 5B) revealed the localization of the fluorescence. *Ex vivo* images of the whole brain had strong fluorescence in the olfactory bulb and brain stem from DiR<sub>M</sub> but detectable fluorescence in the olfactory bulb was only found from DiR<sub>ME</sub> LC formulation at 120 mins post-administration (Fig. 5C).

## **DISCUSSION**

The usefulness of MGE- and GMO-based LC as nanocarriers in enhancing the delivery of TL into the brain and its specific regions after intranasal administration was investigated in this study. TL concentrations in specific brain regions were determined instead of the whole brain alone in an attempt to understand the distribution pathway of TL from LC formulations. In addition, MGE- and GMO-based formulations containing ethanol were also prepared to clarify the influence of ethanol on the properties of LC systems and its impact on nose-to-brain delivery, because the mixture of water and ethanol is a common solvent in dissolving lipophilic drugs, such as TL, in pharmaceuticals.

MGE and GMO lipids with TL ( $TL_M$  and  $TL_G$ ) formed an  $H_2$  inverted hexagonal phase LC and Im3M body-centered cubic phase LC, respectively, whereas the addition of ethanol to MGE ( $TL_{ME}$ ) formed a Pn3m bicontinuous double-diamond cubic structure, suggesting that ethanol possibly modulates the transitions in LC structures (see Fig. 1). An aqueous dispersion of TL consisting of nanoparticles with an internal reversed hexagonal structure, hexosomes, or reversed internal bicontinuous cubic phase, cubosomes, were successfully prepared in this study. Hexosomes and cubosomes function as versatile platforms for drug delivery owing to their capacity to incorporate drugs independently of their solubilities and their large surface areas to interact with biological membranes [25].

MGE ( $TL_M$ ,  $TL_{ME}$ ) and GMO ( $TL_G$ ,  $TL_{GE}$ ) LC formulations were characterized in terms of particle size, PDI, zeta potential, viscosity, and entrapment efficiency (Table 2). The particle size of prepared LC formulations conformed with the ideal nanocarrier size of 150 – 400 nm, which can efficiently deliver drug payload into the brain [5, 9, 10, 26]. PDI values indicated a homogenous particle size distribution in the formulations. The zeta potential of the prepared LC formulations had strong negative values, suggesting predominance of repulsive forces at the surface of the LC, which resisted aggregation of particles and yielded better stability of the formulation [27,28]. Viscosity values of the LC formulations were significantly

different from the control. Formulations containing GMO and ethanol had higher viscosities. MGE and GMO formulations had higher entrapment efficiency by 1 to 1.5-fold compared with their ethanol-containing formulations, which might support their function as a carrier of TL from nose to brain, and the ability of the LC formulation to release TL was confirmed in our *in vitro* release studies. Bicontinuous LC structures (TL<sub>ME</sub>, TL<sub>G</sub>) had higher drug release rates compared with the inverse hexagonal LC (TL<sub>M</sub>) structure, which was possibly related to the differences in the internal structures of the LC involved. In addition, the entrapment efficiency and *in vitro* release data indicated that sufficient concentrations of TL were detected in the brain despite lower volumes of the formulation (10 µL/nostril) administered intranasally than previous reports (25 – 60 µL/nostril) [20,29].

*In vivo* studies on the nose-to-brain delivery of TL were implemented to understand how LC formulations affect the distribution of TL in the brain. TL in solution (TL<sub>C</sub>) exhibited *AUC* values of 189 ng·h/mL in the plasma and 9.55 ng·h/mL in the brain, which corresponded to a 20-fold higher plasma concentration, indicating that TL rapidly entered the systemic circulation after intranasal administration but had poor localization in the brain (Table 3). On the other hand, MGE and GMO LC formulations rendered TL with higher transport efficiency in the brain which corresponds to 10- to 12-fold and 2- to 2.4- fold higher brain uptake, respectively, when compared with TL<sub>C</sub> (Table 3). TL concentrations in the brain were higher compared to plasma for TL<sub>M</sub>, while otherwise was observed for TL<sub>ME</sub>. TL<sub>G</sub> and TL<sub>GE</sub> formulations yielded low concentrations of TL in the brain and plasma, which was attributable to their high viscosities. GMO-based LC formulations had 2- to 3-fold higher viscosity than MGE-based LC formulations. In addition, viscosity may have contributed to the delayed time to reach peak concentration in the brain, as observed in GMO-based LC formulations. Of note, MGE-based LC formulations showed faster systemic and brain transport than GMO-based formulations wherein differences in the morphology of the LC may explain this finding.

Furthermore, LC formulations exhibited longer residence time in the brain than TL<sub>C</sub>, signifying a slow absorption rate and subsequently a slow elimination process, enabling TL accumulation in the brain. In a previous study, oral administration of TL was reported to have a low brain tissue-to-plasma concentration ratio ( $K_p$ ) of 0.38 [30]. In our study, a lower  $K_p$  value was observed in TL<sub>C</sub> (0.13) after intranasal administration. However,  $K_p$  values of intranasally administered LC formulations were 0.46 – 3.4 (Table 3), corresponding to 1.2- to 9-fold higher TL concentrations in the brain than the oral route. The intranasal route of administration proved to be superior over oral routes of administration in the delivery of TL to the brain.

Findings from the biodistribution studies revealed that GMO-based formulations (DiR<sub>G</sub> and DiR<sub>GE</sub>) remained in contact with the nasal cavity over a period of 2 h with sustained fluorescence intensity, indicating that the drug remained at the application site (Fig. 5A). On the other hand, the DiR<sub>M</sub> and DiR<sub>ME</sub> formulations were observed to diffuse gradually from the nasal cavity into the olfactory region, suggesting the controlled release of MGE LC formulations. DiR<sub>S</sub> transited into the pharynx rapidly indicating fast elimination of the solution from the application site (Fig. 5A, B). Co-registered fluorescence with X-ray and  $\mu$ CT images of the cranium confirmed the localization of DiR in the rostral sections of the brain from MGE-based LC formulations. *Ex vivo* brain images of rats administered DiR-labeled MGE LC formulations had higher fluorescence than their ethanol counterparts (Fig. 5C). This affirmed our data on TL tissue distribution for TL<sub>M</sub> where higher brain targeting and a lower systemic absorption were observed compared with TL<sub>ME</sub>. In addition, the differences in the LC structures possibly influenced the localization and extent of biodistribution of LC formulations where DiR<sub>M</sub> (inverse hexagonal) and DiR<sub>ME</sub> (bicontinuous cubic, Im3m) showed higher brain penetration.

Formulation design plays an important role in targeted drug delivery into specific regions of the brain (i.e. hippocampus and cortex in Alzheimer's disease; midbrain in



Parkinson's disease) in mitigating neurodegenerative diseases. TL concentrations from MGE-based LC formulations in different brain regions were significantly higher compared with the  
405 GMO-based LC formulations and control at 8 h (Fig. 3B). TL was detected in brain regions of therapeutic interest, particularly the midbrain, cortex, and hippocampus (Fig. 4). Among the regions of the brain, the olfactory bulb had the highest TL concentration from MGE-based LC formulations (Fig. 4). In addition, *ex vivo* brain images of DiR<sub>M</sub> and DiR<sub>ME</sub> showed strong fluorescence localized in the olfactory bulb and brain stem (Fig. 5C). This evidence confirmed  
410 the direct pathway to support nose-to-brain delivery of drugs by LC formulations.

Transport of drugs into the brain from the olfactory region of the nasal cavity is supported by two known pathways, the olfactory and trigeminal pathways. The olfactory ensheathing cells and neural fibroblasts in the olfactory region are structures continuous with the meninges covering the brain, and thus capable of transporting drugs directly into the brain  
415 following intranasal administration [31]. TL concentration in whole brain for TL<sub>M</sub> formulation peaked at 2 h, and dissection of brain regions revealed that TL concentrations in olfactory bulb peaked at 2 h as well (Fig. 3). In addition, DiR<sub>M</sub> exhibited strong fluorescence in the olfactory bulb, which was confirmed in the biodistribution studies and *ex vivo* brain images at 2 h (Fig. 4). This suggested that a direct nose-to-brain delivery of TL exists via the olfactory pathway  
420 for the TL<sub>M</sub> formulation. TL<sub>M</sub> is a less viscous formulation that tended to flow freely and diffused sufficiently to cover a large surface area of the olfactory region. On the other hand, the high concentrations of TL found in plasma (Fig. 2A) and the brain stem (Fig. 3) presented a different distribution pathway for TL from TL<sub>ME</sub>, with the respiratory epithelium possibly influencing its transport. Moreover, the high viscosity of the TL<sub>ME</sub> formulation (Table 2) may  
425 facilitate prolonged contact with the lateral walls of the nasal cavity of the anterior respiratory epithelium instead of the olfactory region, which is located at the far superior aspect of the nasal cavity. The large and highly vascularized surface area of the respiratory epithelium, a

known absorption site of drugs, could have been the gateway for the systemic absorption of TL from TL<sub>ME</sub>. In the case of TL<sub>ME</sub> formulation, TL was solubilized in ethanol effectively and the presence of MGE acting as a membrane permeation enhancer may have facilitated the absorption of TL through the respiratory epithelium. Moreover, trigeminal nerves (maxillary branch), originating from the brain stem, innervate the respiratory epithelium and may facilitate the transport of TL into the brain via the trigeminal pathway. It must be noted that the olfactory pathway delivers drug to the rostral (front) sections of the brain, whereas the trigeminal pathway delivers to both rostral and caudal (back) sections of the brain, making it difficult to account for which pathway facilitated drug transport into the rostral section [26]. Both MGE-based LC formulations showed high brain uptake. However, this phenomenon can be explained by two different pathways of absorption. The *K<sub>p</sub>* value of TL<sub>M</sub> was 2.8, 2.5, and 3.4 at 2, 4 and 8 h, respectively, whereas TL<sub>ME</sub> had *K<sub>p</sub>* values of 0.2, 0.3, and 0.7, respectively. The calculated *K<sub>p</sub>* value of TL<sub>ME</sub> at 2 h was closely related to the *K<sub>p</sub>* value obtained for TL<sub>C</sub> (0.13), indicating that the systemic circulation contributed to TL brain uptake in the first few hours, and a direct nose-to-brain route contributed to TL in the brain at a later time, as shown by the increasing *K<sub>p</sub>* values over time. TL<sub>M</sub> had high *K<sub>p</sub>* values maintained over time, with a predominantly direct nose-to-brain migration proposed for TL<sub>M</sub>, as indicated by its higher TL concentration in brain than in plasma. Moreover, %DTP supports this finding wherein 73.9% of TL from TL<sub>M</sub> at 8 h were attributed to a direct nose-to-brain pathway (Table 3). TL concentration in the brain for TL<sub>ME</sub> had contributions from direct nose-to brain migration as well as TL penetrating the brain via systemic circulation, as indicated by the higher plasma concentration obtained for TL<sub>ME</sub> (Fig.3A). Further investigations to clarify the distribution pathway of LC formulations in delivering drugs to the brain are needed.

Our previous works on MGE-based liquid crystals injectable formulations in in vivo experiments in rats demonstrated no severe toxicities (no weight loss, no respiratory failure

and no inflammation at the administration site) while its antiadhesion formulation in a rat peritoneal adhesion model was found to be well tolerated with both studies spanning weeks of observation [32,33]. Isoprenoid-type compounds are metabolized by the liver and excreted by the kidney and intestinal tract. It is potentially predictable that MGE follows this particular metabolic pathway [33]. On the other hand, GMO is a nontoxic, biodegradable, and biocompatible material and is listed in the FDA's Inactive Ingredients Guide [34]. However, further studies are needed to be performed for better understanding of local (i.e., nasal ciliotoxicity studies), systemic toxicity and biocompatibility of intranasally administered liquid crystal formulations.

Formulation characteristics of MGE- and GMO-based LC formulations administered intranasally supported the delivery of drugs into the brain. This is the first study to demonstrate the usefulness of LC formulations in enhancing TL delivery into the brain following intranasal administration. In addition, this is a pioneering work utilizing the combined methods of an *in vivo* imaging system and  $\mu$ CT in visualizing the biodistribution of LC formulations to identify the anatomical localization of drugs. Although this is a putative evidence on the LC-mediated delivery of drugs into the brain by intranasal administration, further investigations focusing on optimizing the effects of LC-based drug carriers, understanding formulation mechanisms, and the dose needed to exhibit pharmacologic actions in brain disease models are recommended.

## CONCLUSION

MGE and GMO effectively formed LCs with suitable formulation characteristics supporting the brain uptake of lipophilic drugs. Intranasal administration of LC formulations enhanced the delivery of TL into the brain and distribution to brain regions. The olfactory bulb consistently had the highest TL concentration and fluorescence signals among all the brain regions, indicating a direct nose-to-brain delivery pathway for the LC formulations. GMO-

based LC formulations demonstrated the ability to sustain contact with the nasal cavity. MGE-based LC formulations can diffuse deep within the olfactory region and exhibit higher drug transport into the brain. LC-forming lipids, MGE and GMO, are potential biomaterials in formulations intended for intranasal administration. Biodistribution properties of intranasally administered LC formulations from the nasal cavity into the rostral section of the brain have been confirmed and visualized in real-time using an *in vivo* imaging system combined with  $\mu$ CT.

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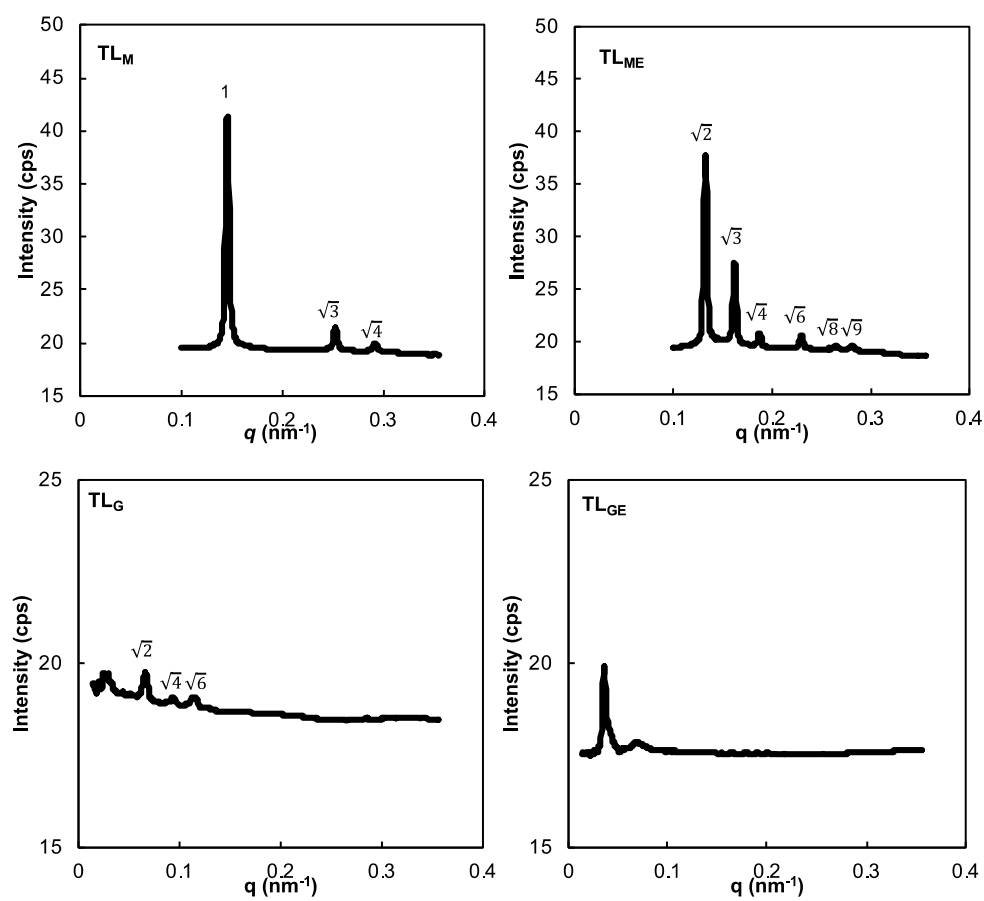
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## FIGURES

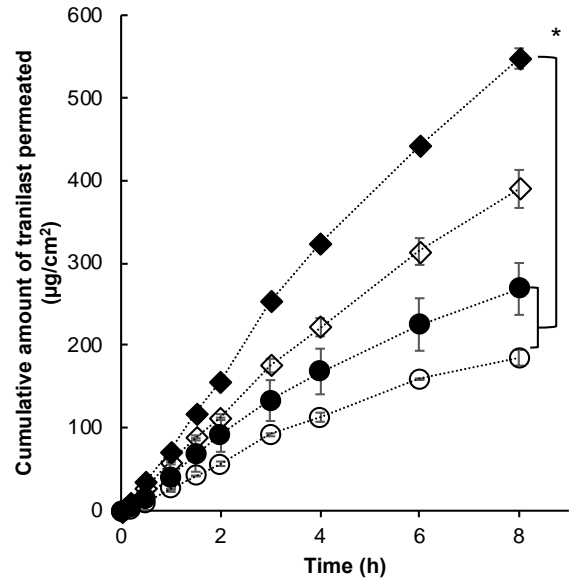


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Figure 1. SAXS diffraction pattern of tranilast in MGE and GMO-based LC formulations.

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Figure 2. *In vitro* release profile of tranilast formulations. TL<sub>M</sub> (◇), TL<sub>ME</sub> (◆), TL<sub>G</sub> (○), TL<sub>GE</sub> (●). Each value represents the mean  $\pm$  S.E. (n= 3 – 4). Significant difference (\* $p \leq 0.05$ ) between TL<sub>ME</sub> and TL<sub>G</sub> or TL<sub>GE</sub>.

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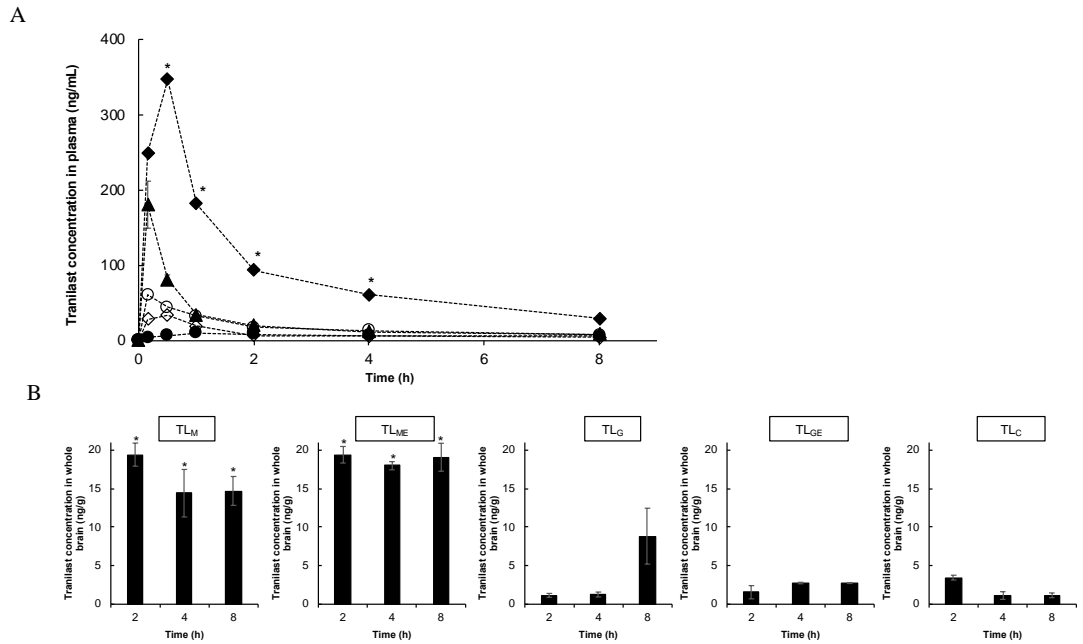


Figure 3. Concentration of tranilast in plasma (A) and whole brain (B). TL<sub>M</sub> (◇), TL<sub>ME</sub> (◆), TL<sub>G</sub> (○), TL<sub>GE</sub> (●), TL<sub>C</sub> (▲). Each value represents the mean  $\pm$  S.E. (n= 3 – 4). Significant difference (\* $p \leq 0.05$ ) between TL<sub>M</sub> and TL<sub>G</sub>, TL<sub>GE</sub> or TL<sub>C</sub>; TL<sub>ME</sub> and TL<sub>G</sub>, TL<sub>GE</sub> or TL<sub>C</sub>.

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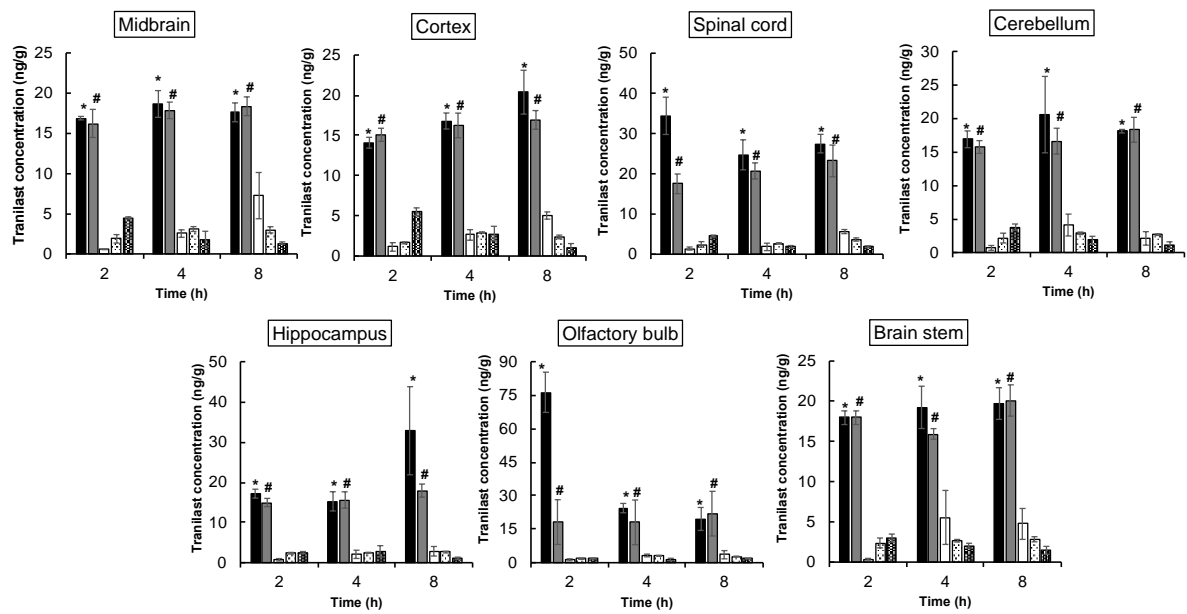


Figure 4. Concentration of tranilast in brain regions. TL<sub>M</sub> (■), TL<sub>ME</sub> (■), TL<sub>G</sub> (□), TL<sub>GE</sub> (▤), TL<sub>C</sub> (▦). Each value represents the mean  $\pm$  S.E. ( $n = 3 - 4$ ). Significant difference ( $p \leq 0.05$ ) between \*TL<sub>M</sub> and TL<sub>G</sub>, TL<sub>GE</sub>, or TL<sub>C</sub>; #TL<sub>ME</sub> and TL<sub>G</sub>, TL<sub>GE</sub>, or TL<sub>C</sub>.

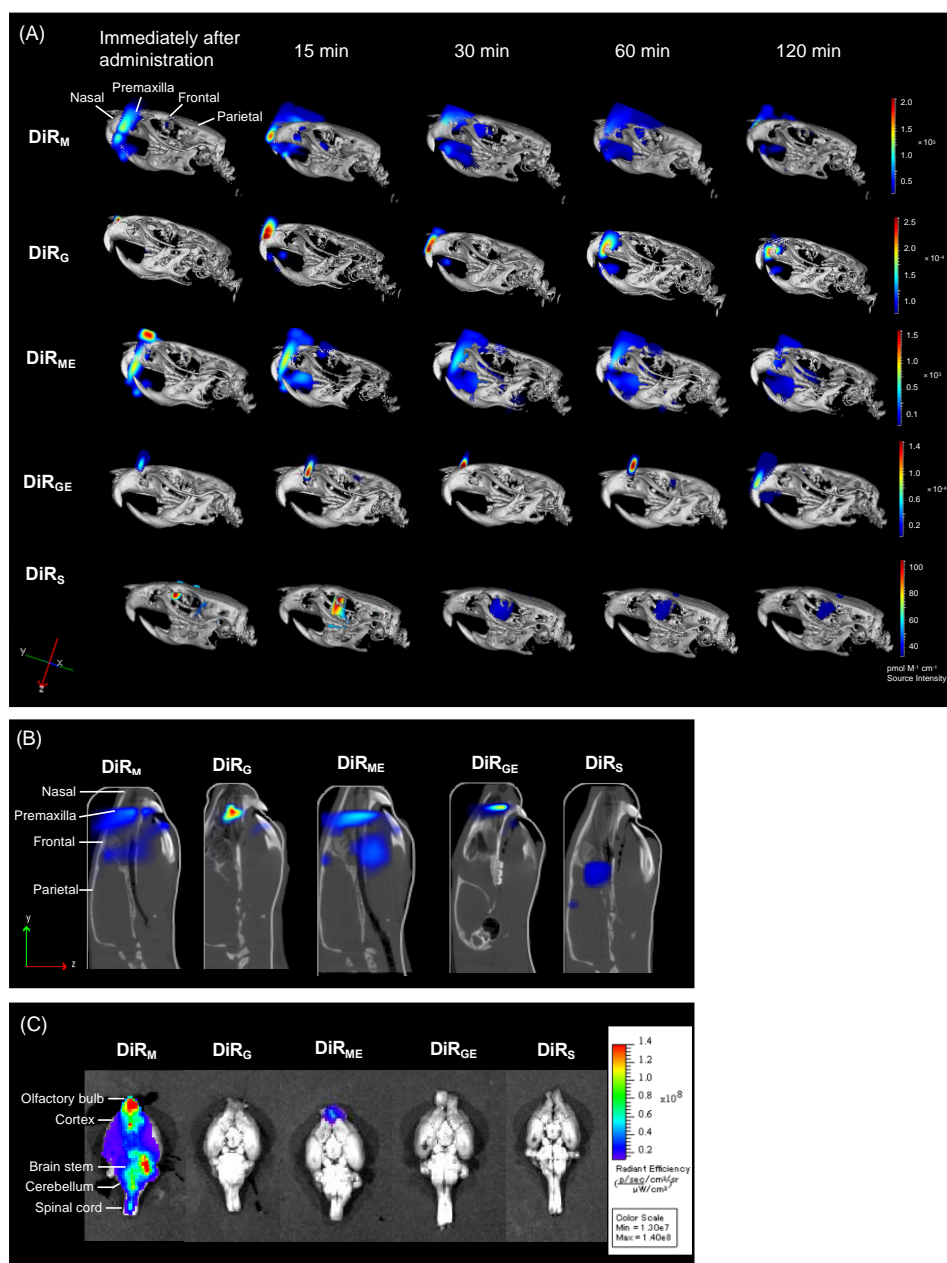


Figure 5. Biodistribution of LC formulations by *in vivo* fluorescent/ $\mu$ CT imaging. (A) *in vivo* fluorescent signals co-registered with X-ray and micro-computed tomography images ( $\mu$ CT); (B) Slice images in the lateral direction at 120 min post-administration; (C) *ex vivo* fluorescent images of whole brain at 120 min post-administration. Representative images are presented in this figure (n=3).

## TABLES

**Table 1. Composition of nasal formulations**

Components (%)	TL <sub>M</sub>	TL <sub>ME</sub>	TL <sub>G</sub>	TL <sub>GE</sub>	TL <sub>C</sub>
Tranilast	0.5	0.5	0.5	0.5	0.5
Monoglycerol ester (MGE)	20	20	–	–	–
Glyceryl monooleate (GMO)	–	–	20	20	–
Pluronic® F-127	5	5	5	5	–
Ethanol	–	5	–	5	–
Purified water	74.5	69.5	74.5	69.5	–
PBS (pH 7.4)	–	–	–	–	99.5
Total	100	100	100	100	100

**Table 2. Characteristics of LC formulations**

Formulations	Mean particle size (nm)	Polydispersity index	ζ-Potential (mV)	Viscosity (mPa·s)	Entrapment efficiency (%)
TL <sub>M</sub>	300 ± 0.65	0.38 ± 0.28	-24.1 ± 0.23	334.6	90.5 ± 0.46
TL <sub>ME</sub>	256 ± 0.39	0.40 ± 0.13	-35.0 ± 0.19	913.7	85.8 ± 0.52
TL <sub>G</sub>	179 ± 0.53	0.13 ± 0.93	-15.5 ± 0.16	1120.5	70.6 ± 0.45
TL <sub>GE</sub>	404 ± 0.46	0.33 ± 0.88	-16.1 ± 0.19	2237.2	53.8 ± 1.02

**Table 3. Pharmacokinetic profile of tranilast after intranasal administration**

	Plasma				Whole brain				*K <sub>p</sub>	%DTE	%DTP
	C <sub>max</sub> (ng/mL)i.n.	AUC <sub>(0-8)</sub> (ng·h/mL)i.n.	C <sub>max</sub> (ng/mL)i.v.	AUC <sub>(0-8)</sub> (ng·h/mL)i.v.	C <sub>max</sub> (ng/mL) i.n.	AUC <sub>(0-8)</sub> (ng·h/mL) i.n.	C <sub>max</sub> (ng/mL) i.v.	AUC <sub>(0-8)</sub> (ng·h/mL)i.v.			
TL <sub>M</sub>	33.9 ± 7.03	71.4 ± 11.4	-	-	19.4 ± 1.50	94.5 ± 3.68	-	-	3.4	384	73.9
TL <sub>ME</sub>	346 ± 48.6	725 ± 33.1	-	-	19.4 ± 1.07	114 ± 5.92	-	-	0.66	45.6	-119
TL <sub>G</sub>	61.0 ± 12.0	142 ± 9.13	-	-	8.84 ± 3.63	22.7 ± 6.41	-	-	1.09	46.3	-115
TL <sub>GE</sub>	10.0 ± 1.71	56.4 ± 8.13	-	-	2.75 ± 0.06	15.5 ± 2.73	-	-	0.46	79.6	-25.5
TL <sub>C</sub>	181 ± 31.3	189 ± 16.2	1064.3 ± 5.23	1018.6 ± 8.19	3.46 ± 0.31	9.55 ± 2.17	116.5 ± 4.31	351.5 ± 4.16	0.13	14.6	-582

i.n.: intranasal; i.v.: intravenous; \*tissue-to-plasma concentration ratio (whole brain TL concentration/plasma TL concentration) at 8 h.